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# **ARTICLE IN PRESS**

## Scaling of thermal tolerance with body mass and genome size in ectotherms: a comparison between water- and air-breathers

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Global warming appears to favour smaller-bodied organisms, but whether larger species are also more vulnerable to thermal extremes, as suggested for past mass-extinction events, is still an open question. Here, we tested whether interspecific differences in thermal tolerance (heat and cold) of ectotherm organisms are linked to differences in their body mass and genome size (as a proxy for cell size). Since the vulnerability of larger, aquatic taxa to warming has been attributed to the oxygen limitation hypothesis, we also assessed how body mass and genome size modulate thermal tolerance in species with contrasting breathing modes, habitats and life stages. A database with the upper (CTmax) and lower (CTmin) critical thermal limits and their methodological aspects was assembled comprising more than 500 species of ectotherms. Our results demonstrate that thermal tolerance in ectotherms is dependent on body mass and genome size and these relationships became especially evident in prolonged experimental trials where energy efficiency gains importance. During longterm trials, CTmax was impaired in larger-bodied water-breathers, consistent with a role for oxygen limitation. Variation in CTmin was mostly explained by the combined effects of body mass and genome size and it was enhanced in larger-celled, air-breathing species during long-term trials, consistent with a role for depolarization of cell membranes. Our results highlight the importance of accounting for phylogeny and exposure duration. Especially when considering long-term trials, the observed effects on thermal limits are more in line with the warming-induced reduction in body mass observed during long-term rearing experiments.

This article is part of the theme issue 'Physiological diversity and global patterns of biodiversity in a time of global climate change: testing and generating key hypotheses involving temperature and oxygen'.

## 1. Introduction

The capacity of organisms to take up and transform resources from their environment is a key attribute governing growth, reproduction and subsequently affecting population dynamics, community composition and ecosystem functioning [1,2]. Such capacity seems to be mainly dictated by the species' body mass [3]. Macroecological and paleoecological data show spatial (e.g. Bergmann's rule [4,5]) and temporal (Lilliput's effect [6]) variation in body mass, which share a common point related to the environmental temperature: at warmer, tropical latitudes and during the past mass extinctions, warming appears to select for smaller-bodied species [5,7-9]. Body size reductions with warming appear to be stronger in aquatic taxa than in terrestrial taxa

Electronic supplementary material is available online at rs.figshare.com.

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64 [5]. In tandem with body size reductions, both aquatic and 65 terrestrial species are shifting their distribution towards 66 cooler habitats and their phenology to earlier and hence, 67 cooler conditions [10,11]. One approach that has been taken 68 to clarify the extent and variation in species redistributions, 69 and to determine which taxonomic groups are potentially 70 more vulnerable to the effects of climate change, is that of 71 comparative studies that analyse thermal tolerance limits 72 (upper and lower) synthesized from the literature [12-15]. 73 These studies also highlight key differences in thermal 74 responses between aquatic and terrestrial taxa, likely related 75 to their breathing mode [16]. The physiological mechanisms 76 underpinning size adjustments and thermal limits are 77 actively debated [17-20], but oxygen limitation has been 78 implicated for both thermal limits [21-23], and size adjust-79 ments [24-29] and hypoxia possibly also contributed to 80 mass extinctions [8,30].

81 By affecting both oxygen demand [31] and the availability 82 of oxygen in water [32,33], warming is hypothesized to result 83 in oxygen limitation, which then causes reductions in 84 thermal limits [22,34] and/or body mass [24,29]. As breath-85 ing underwater is more challenging than breathing in air, 86 this oxygen-based mechanism could explain the divergent 87 responses observed in air- and water-breathers [25]. While 88 studies to date hint at a possible size-dependence of thermal 89 limits, no studies have tested this possibility comprehen-90 sively. In fact, most studies have focused on one or a few 91 species and although these often find no effect of body 92 mass when included as a covariate in analyses, thermal toler-93 ance limits (heat tolerance rather than cold tolerance) are 94 more frequently reported to decrease rather than increase 95 with increasing body mass [35-38]. In an effort to address 96 this knowledge gap regarding how body mass modulates 97 the response to the temperature in ectotherms, we take 98 advantage of the large body of the literature and created a 99 database of upper and lower thermal limits supplemented 100 with biological information of 510 species.

101 Larger-bodied species may be more susceptible to oxygen 102 limitation because of their lower surface area to volume ratio, 103 which (all else being equal) constrains their capacity to extract 104 oxygen from their environment and deliver it to their metabo-105 lizing tissues [24,27,32], or because transport distances 106 increase, which may be especially a problem if these are 107 based on diffusion [28]. If oxygen limitation plays a role in set-108 ting thermal limits, one prediction would be that thermal 109 limits vary across organisms with distinct capacities to 110 supply oxygen, including differences between water- and 111 air-breathers, or between gas exchange systems across life 112 stages. As body mass is intimately connected to a suite of 113 other traits, size-dependency of thermal limits may be driven 114 by traits related to body mass, rather than body mass per se. 115 For example, relative to the larger adults, smaller life stages 116 also may experience relatively cooler conditions, especially in 117 temperate and polar regions with a clear seasonality, such 118 that their thermal limits are shifted to lower temperatures, 119 i.e. improved cold tolerance and impaired heat tolerance. Simi-120 larly, organisms living in aquatic habitats will experience 121 different thermal regimes than those living on land [15].

Variation in body mass can result from changes in cell number, cell size or a combination of both [39,40], but usually larger-bodied species tend to have larger cells as documented in arthropods [40,41], fish [42] and birds and mammals species [43]. The theory of optimal cell size [44] highlights how differences in cell size have repercussions for oxygen uptake at the cellular level. In the same way, a diversity of cellular physiological functions scales with the cell size [45] and hence, differences in thermal tolerance between animals of different body mass may be mechanistically linked to differences in cell size, rather than body mass. Contrary to the hypothesized influence of oxygen limitation on heat tolerance, the evidence for such an influence on cold tolerance is rather limited [16], and these limits are thought to arise from membrane depolarization and subsequent cell dysfunction due to energy deficits or—in the case of extreme cold tolerance, the freezing of body fluids [46]. Thus, for cold tolerance, a cellular perspective may be more informative, although the correlation between cell size and body mass may result in size-dependency for the CTmin.

In the present study, we use a global database of lower (CTmin) and upper (CTmax) critical thermal limits supplemented with information on other biological traits of ectotherms' species and their phylogenetic relationships, to investigate whether and how the tolerance to high and low temperatures are modulated by the body mass and genome size (proxy for cell size) across arthropods and vertebrates (amphibians, fish and reptiles) species which distinct breathing modes, life stages and habitats. We hypothesize that (1) both CTmax and CTmin will be related to the body mass and genome size of the species, with thermal limits decreasing with increasing body mass (for CTmax) and with increasing genome size (for CTmin); (2) both CTmax and CTmin will differ across breathing modes and a species' habitat, and such differences will become more pronounced in large-bodied organisms or those with larger genomes and (3) early life stages will be more susceptible to heat stress than their adult counterparts, and more resistant to cold stress.

#### 2. Material and methods

#### (a) Data search

We created a global database of body mass-related traits (body mass and genome size), life-stage (adult, juvenile and larva) and breathing mode (air, bimodal and water-breathers) of aquatic and terrestrial species belonging to four taxonomic groups (amphibians, arthropods, fish and reptiles) for which the critical thermal limits (upper and lower) have been evaluated using dynamic methods (i.e. CTmax or CTmin, sensu [47]). The chosen groups comprise taxa for which the determination of body mass was expected to be straightforward. We started by retrieving information from articles on body mass and thermal limits from existing quantitative reviews whose aim has been to explore global patterns of thermal tolerance in ectotherms [12,13,15]. We then added information from recently published references, from January 2015 to October 2018, which were found by using keywords combinations of Boolean terms through ISI Web of Science as follow: (thermal tolerance OR heat tolerance OR cold tolerance OR upper thermal limit OR lower thermal limit OR thermal range OR CTmax OR CTmin) AND (body mass OR body size OR length) AND (amphib\* OR arthrop\* OR crustacea\* OR fish\* OR insect\* OR reptil\*). Searches were limited by research area (ecology, evolutionary biology, biodiversity conservation, environmental sciences, marine freshwater biology, physiology, entomology, zoology, biology, oceanography, fisheries, limnology, environmental studies, behavioural sciences, toxicology, water resources and multidisciplinary sciences) and research articles. To supplement our searches, we delved into the reference list of each paper to identify additional studies missed in the

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initial search and if necessary, requesting corresponding authorsfor additional data not provided in the main text or electronicsupplementary material.

#### (b) Inclusion criteria

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132 CTmax and CTmin data established by a dynamic (or ramping) 133 method were included in our database, which represents the 134 most common metrics used to assess thermal tolerances in 135 chosen taxa [48]. To account for methodological variation related 136 to differences in starting temperatures and heating/cooling rates across species or studies, we calculated the exposure duration as 137 a single metric that takes into account how long animals are 138 exposed to thermal stress during the heating and cooling trials. 139 After having merged the already published databases with the 140 articles resulted from our search, all duplicates were removed 141 and each article was screened and filtered to build our dataset 142 based only on experimental studies on the basis of three main 143 inclusion criteria: (i) mention of species name belonging to at 144 least one of the four taxa selected (amphibians, arthropods, fish 145 and reptiles), (ii) mention of body mass estimates as mass (wet 146 or dry), width (carapace) or length (carapace, fork, intertegular, 147 snout-vent, standard and total) and (iii) species candidates should be enlisted in the Open Tree of Life (https://ot39.opentreeo-148 flife.org) for subsequent phylogenetic analyses (see Data analyses 149 section). Despite the restrictive nature of our criteria, just in a few 150 cases, multiple articles reported data on thermal limits for the 151 same species. For this, we prioritized those with the most infor-152 mation available, covering the largest number of entries in our 153 database. Even so, if there were duplicates per species, we favoured 154 those studies which (i) give both CTmax and CTmin estimations 155 over studies reporting only one thermal limit, (ii) mention the 156 life-stage used during the experiments and (iii) mention methodo-157 logical information as cooling/heating rates, starting temperatures 158 and geographical coordinates of collection. In the end, all these criteria allowed us to identify 510 species over 174 research articles 159 providing thermal limits and body mass and phylogenetic infor-160 mation (electronic supplementary material, figure S1). For each 161 species, we compiled taxonomic and biological information (life-162 stage, habitat, breathing mode, body mass and genome size), 163 data on the site where a species was collected (geographical 164 coordinates: latitude and longitude, and origin: laboratory or 165 field), methodological information related to the estimation of the 166 thermal limits (starting temperature, heating/cooling rates and 167 acclimation time) and finally, the CTmax and CTmin values.

168 All body size data collected in units other than mass were 169 transformed using appropriate allometric relationships at the 170 species' level [49], if it was not possible, we moved up to a 171 higher taxonomic level (e.g. genus or family [50,51]), aiming to have a more representative unit of size for all species in the data-172 base, in this case, the body mass in grams (g). As a proxy of cell 173 size, we collected genome size data (in picograms, pg) from the 174 Animal Genome Size Database [52] if it was available. The 175 breathing mode was established on the basis of the species 176 used on each experiment, through 'expert judgement' or consult-177 ing secondary references if it necessary (e.g. [53]). Bimodal-178 breathers were classified either as water-breathers (for trials 179 where they relied on under water gas exchange) or air-breathers 180 (for trials where they relied on aerial gas exchange). As most data 181 concerned adults, with only few data for larva and juvenile these 182 two categories were grouped as non-adults. Data from publications where CTmax or CTmin were not reported in the text 183 or tables (i.e. presented only as figures), were extracted using 184 the LibreOffice extension 'OOodigitizer v1.2.1'. 185

#### (c) Data analyses

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All the results presented in the paper, both in the main text and in the supplementary electronic material were based on linear versions of phylogenetic generalized least-squares (PGLSs) models. The correlation structure of these models was given by the potential similarity of species' traits resulting from the shared evolutionary history and, described by their phylogenetic signal using the Pagel's lambda ( $\lambda$ ) [54]. For this index, a value closer to zero indicates non-phylogenetic signal (phylogenetic independence between species, or a star phylogeny) while a value closer to one indicates that species' traits evolved randomly through evolutionary timescales (Brownian phylogeny) [55]. Such information, available as phylogenetic trees, was accessed following [56] and pruned to include only species present in our database. In addition to the estimation of phylogenetic signal in the model residuals, we tested for phylogenetic signal in both in the dependent variables (i.e. the thermal limits) as well as in the independent variables of interest included in the main models following [57] (see electronic supplementary material, table S11).

Before the main analyses, we first performed preliminary PGLSs in order to determine whether methodological variables influence thermal limits within this dataset and needed to be included in the main analyses. For this, we tested whether the (1) species origin (laboratory or field), or (2) latitude of collection, or (3) acclimation time in the laboratory and the (4) time necessary to reach the CTmax and CTmin affected these thermal limits. The time was calculated after [58,59], as the relation between ramping rate ( $\Delta T$ , in °C min<sup>-1</sup>) and the starting temperature (*T*<sub>0</sub>) either for CTmax as: time = [CTmax – *T*<sub>0</sub>] ×  $\Delta T^{-1}$ ; and for CTmin trials as: time =  $[T_0 - CTmin] \times \Delta T^{-1}$ . Out of these four methodological variables, only time and/or latitude showed the highest support and also, had significant effects on the thermal limits (for CTmax: latitude and time and for CTmin: only latitude) and these two were subsequently included as covariates in the main models (see electronic supplementary material, tables S1, table S2 and figure S7).

For the main analyses, we fitted PGLSs models each to CTmax and CTmin, first with body mass (log<sub>10</sub>-transformed body mass) as an independent numerical variable, and breathing mode (air and water), life-stage (adult and non-adult) and habitat (aquatic, intertidal and terrestrial) as categorical variables. We also ran models that included all possible interactions of these categorical variables and body mass. In a similar, second set of models, we used genome size (log<sub>10</sub>-transformed genome size) instead of body mass. Since we did not have a reliable estimate of genome size for all 510 taxa, the models using genome size were based on a smaller set of species and hence, model performance cannot be compared directly for those models based on either body mass or genome size.

For each model, we explored the contribution of covariates and phylogeny by fitting models that excluded the effects of phylogeny (i.e. with  $\lambda = 0$ ), latitude or exposure duration. Within each variable and covariate combination, we selected the most informative model using a multimodel inference approach by means of the lowest Akaike's weights ( $w_i$ ), which provide the relative weight of the evidence towards one of all tested models, and therefore they must add up to 1 [60]. After fitting the models by maximum likelihood, hypothesis testing was performed in models with the highest support using an analysis of deviance with a significance level of  $p \leq 0.05$ . All analyses and figures presented in the paper were implemented and generated in R version 3.5.1 [61] using the packages 'AICcmodavg' [62], 'ape' [63], 'nlme' [64], 'phytools' [65], 'rotl' [56] and 'visreg' [66].

#### 3. Results

We present results of empirical observations on critical thermal limits for 510 (CTmax) and 232 (CTmin) species (electronic supplementary material, figures S2a,b). For each

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**Table 1.** Results for phylogenetic generalized least-squares (PGLSs) models to explain variation in ectotherms' CTmax (N = 510 species) as a function of  $\log_{10^-}$  transformed body mass, breathing mode (air and water), life-stage (adult and non-adult), habitat (aquatic, intertidal and terrestrial) and their interactions. All models were assessed using exposure duration (Time) and/or absolute latitude (Lat) of the animal collection as covariates. The number of parameters (k), corrected Akaike's information criterion (AICc), the difference in AICc respect to the model with highest support ( $\Delta$ AICc) and the Akaike's weight ( $w_i$ ) are mentioned for each model. Pagel's lambda ( $\lambda$ ) denotes correlation structure used ( $\lambda = 0$ , star phylogeny and  $\lambda = 1$ , Brownian phylogeny).

Models		k	AICc	AICc	W
0. Covariates	$\lambda=$ 1 $+$ Lat $+$ Time	4	3013.58	54.43	0.
	$\lambda=$ 0 $+$ Lat $+$ Time	4	3306.34	347.19	0.
	$\lambda = 1 + Lat$	3	3040.98	81.83	0.
	$\lambda = 1 + $ Time	3	3066.19	107.04	0.
1. Body mass	$\lambda = 1 + Lat + Time$	5	3014.70	55.55	0
	$\lambda = 0 + Lat + Time$	5	3200.72	241.57	0
	$\lambda = 1 + Lat$	4	3042.19	83.04	0
	$\lambda = 1 + Time$	4	3066.02	106.87	C
2. Breathing mode	$\lambda = 1 + Lat + Time$	5	2984.49	25.34	(
	$\lambda = 0 + 1$ at $+$ Time	5	3232.59	273.43	(
	$\lambda = 1 + lat$	4	3005 53	46 38	۔ ر
	$\lambda = 1 + \text{Time}$	4	3031 19	72.03	۔ ۱
3 life_stane	$\lambda = 1 + 1$ hinc $\lambda = 1 + 1$ at $\pm 1$ ime	5	3015 52	56 37	
5. Life stage	$\lambda = 0 + 1$ at $+$ Time	5	3307 18	3/18 02	
	$\lambda = 0 + Lat + Inne$	, у Л	2020 21	20.02 20 16	
	$\lambda = 1 + LdL$	4	ן כ.לכטכ דע באמנ	109 56	
A 11-1-1-1-1	$\lambda = 1 + 1 \text{ line}$	4	2007.71	100.00	
4. Habitat	$\lambda = 1 + Lat + 1ime$	6	3007.04	47.89	
	$\lambda = 0 + Lat + IIme$	6	3246.43	287.27	
	$\lambda = 1 + Lat$	5	3032.57	/3.42	
	$\lambda = 1 + \text{Time}$	5	3063.24	104.09	
5. Body mass $ imes$ Breathing mode	$\lambda = 1 + Lat + Time$	7	2980.31	21.16	
	$\lambda = 0 + Lat + Time$	7	3170.76	211.61	
	$\lambda = 1 + Lat$	6	3002.48	43.33	
	$\lambda = 1 + Time$	6	3030.55	71.40	
6. Body mass $ imes$ Life-stage	$\lambda=$ 1 $+$ Lat $+$ Time	7	3004.43	45.27	
	$\lambda =$ 0 $+$ Lat $+$ Time	7	3190.02	230.87	
	$\lambda = 1 + Lat$	6	3031.97	72.82	(
	$\lambda = 1 + $ Time	6	3056.17	97.02	(
7. Body mass $ imes$ Habitat	$\lambda=$ 1 $+$ Lat $+$ Time	9	3003.94	44.79	(
,	$\lambda=$ 0 $+$ Lat $+$ Time	9	3167.62	208.47	(
	$\lambda = 1 + Lat$	8	3027.88	68.73	(
	$\lambda = 1 + $ Time	8	3052.40	93.25	(
8. Body mass $\times$ Breathing mode $\times$ Time	$\lambda = 1 + Lat$	10	2959.15	0.00	1
	$\lambda = 0 + Lat$	10	3148.75	189.60	(
9. Body mass $\times$ Life-stage $\times$ Time	$\lambda = 1 + Lat$	10	2991.95	32.80	(
	$\lambda = 0 + Lat$	10	3189.60	230.44	(
10. Body mass $ imes$ Habitat $ imes$ Time	$\lambda = 1 + Lat$	- 14	2976.66	17.51	)
	$\lambda = 0 \pm 1$ st	1/	2174.65	215 40	r v

species, we also included information on the body mass of
the experimental animals (electronic supplementary material,
figure S2c) used during the tests and their phylogenetic
relationships (electronic supplementary material, figures
S3–S6). The smallest species (red fire ant *Solenopsis invicta*,
0.0000314 g) is separated from the largest (bonefish *Albula*)

*vulpes*, 1235.42 g) by  $3.93 \times 10^7$  orders of magnitude (or 7.5 on log<sub>10</sub>-scale). For most of these species, we also included information on their genome size (electronic supplementary material, figure S2d), and this ranged from 0.14 pg, for the aphid *Aphidius avenae*, to 66.6 pg for the Southern torrent salamander *Rhyacotriton variegatus*. Breathing mode was

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**Table 2.** Results for phylogenetic generalized least-squares (PGLSs) models to explain variation in ectotherms' CTmin (N = 232 species) as a function of  $\log_{10^-}$ transformed body mass, breathing mode (air and water), life-stage (adult and non-adult), habitat (aquatic, intertidal and terrestrial), exposure duration (Time) and their interactions. All models were assessed using absolute latitude (Lat) of the animal collection as a covariate. The number of parameters (k), corrected Akaike's information criterion (AICc), the difference in AICc respect to the model with highest support ( $\Delta$ AICc) and Akaike's weight ( $w_i$ ) are mentioned for each model. Pagel's lambda ( $\lambda$ ) denotes correlation structure used ( $\lambda = 0$ , star phylogeny and  $\lambda = 1$ , Brownian phylogeny).

Models		k	AICc	ΔAICc	Wi
0. Covariates	$\lambda = 1 + Lat$	3	1304.72	69.18	0.00
	$\lambda=$ 0 $+$ Lat	3	1399.46	163.92	0.00
1. Body mass	$\lambda = 1 + Lat$	4	1306.73	71.19	0.00
	$\lambda=$ 0 $+$ Lat	4	1289.78	54.24	0.00
2. Breathing Mode	$\lambda = 1 + Lat$	4	1305.39	69.85	0.00
	$\lambda=$ 0 $+$ Lat	4	1384.55	149.01	0.00
3. Life-stage	$\lambda = 1 + Lat$	4	1306.57	71.03	0.00
	$\lambda=$ 0 $+$ Lat	4	1398.91	163.37	0.00
4. Habitat	$\lambda = 1 + Lat$	5	1292.00	56.46	0.00
	$\lambda=$ 0 $+$ Lat	5	1396.35	160.81	0.00
5. Body mass $ imes$ Breathing mode	$\lambda = 1 + Lat$	б	1307.00	71.46	0.00
	$\lambda = 0 + Lat$	б	1273.49	37.95	0.00
6. Body mass $ imes$ Life-stage	$\lambda = 1 + Lat$	б	1306.84	71.30	0.00
	$\lambda = 0 + Lat$	б	1285.09	49.55	0.00
7. Body mass $ imes$ Habitat	$\lambda = 1 + Lat$	8	1297.78	62.24	0.00
	$\lambda = 0 + Lat$	8	1274.84	39.30	0.00
8. Body mass $ imes$ Breathing mode $ imes$ Time	$\lambda = 1 + Lat$	10	1288.19	52.65	0.00
	$\lambda = 0 + Lat$	10	1235.54	0.00	1.00
9. Body mass $ imes$ Life-stage $ imes$ Time	$\lambda = 1 + Lat$	10	1290.22	54.68	0.00
	$\lambda=$ 0 $+$ Lat	10	1249.66	14.12	0.00
10. Body mass $ imes$ Habitat $ imes$ Time	$\lambda = 1 + Lat$	14	1269.39	33.85	0.00
	$\lambda = 0 + Lat$	14	1264.29	28.75	0.00

290 represented by 225 and 285 species, corresponding to air- and 291 water-breathing species, respectively. On the other hand, 292 most data concerned adults (N = 402), while the remaining 293 larvae and juveniles were grouped as non-adults (N = 108). 294 In terms of habitat, the majority of species were aquatic 295 (316 species), or terrestrial (181 species), with only a low 296 species being intertidal (13 species).

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297 Both CTmax and CTmin showed a clear phylogenetic 298 signal (electronic supplementary material, table S11), indicat-299 ing that thermal tolerance among the studied species has 300 been largely conserved across evolutionary lineages. A com-301 parison between phylogenetic generalized least-squares 302 (PGLS) models under a Brownian mode of evolution ( $\lambda =$ 303 1) and non-phylogenetic models ( $\lambda = 0$ ) showed, in most of 304 cases, that accounting for phylogenetic relationships among 305 the studied species improved the model fit both for CTmax 306 (table 1 and table 3) and CTmin (table 2 and table 4). The 307 two covariates (i.e. absolute latitude and exposure duration) 308 were always included in the best-supported model, indicat-309 ing their importance in explaining variation in thermal 310 tolerance. For all CTmax models, greater support and the 311 lowest AICc were observed when phylogeny was taken into 312 account ( $\lambda = 1$ ). By contrast, for CTmin, accounting for the 313 shared evolutionary history of species was less important 314 for those models that already included body mass as an 315 explanatory variable, possibly because body mass is strongly

phylogenetically structured and may, therefore, obviate the need to include phylogeny (table 2, model 5 to model 10).

Modelled effects of body mass and genome size on both thermal limits differed according to whether the model included phylogeny or not. For CTmax, a negative relationships with body mass was most apparent in the model that did not include phylogeny ( $\lambda = 0$ ), likely because both extreme values for CTmax and body mass were phylogenetically clustered (tables 1 and 3 and figure 1a,b; electronic supplementary material, figures S3 and S4). Effects of both body mass and genome size on CTmax differed with breathing mode and exposure duration (electronic supplementary material, tables S3 and S5; see below). For CTmin, the bestsupported models indicated that cold tolerance declined (i.e. higher CTmin values) with increasing body mass (table 2 and figure 1c) and with increasing genome size (table 4 and figure 1d). Effects of body mass on CTmin differed with breathing mode and exposure duration (electronic supplementary material, table S4), whereas those of genome size differed with habitat and exposure duration (electronic supplementary material, table S6).

Consistent with the expectation that both CTmax and CTmin differ in species with different breathing modes and across habitats, our results indicate a generally lower tolerance for water-breathers compared with air-breathers, suggesting that water-breathers are more vulnerable to both

**Table 3.** Results for phylogenetic generalized least-squares (PGLSs) models to explain variation in ectotherms' CTmax (N = 433 species) as a function of  $\log_{10^-}$ transformed genome size, breathing mode (air and water), life-stage (adult, non-adult), habitat (aquatic, intertidal and terrestrial) and their interactions. All models were assessed using exposure duration (Time) and/or absolute latitude (Lat) of the animal collection as covariates. The number of parameters (k), corrected Akaike's information criterion (AICc), the difference in AICc respect to the model with highest support ( $\Delta$ AICc) and Akaike's weight ( $w_i$ ) are mentioned for each model. Pagel's lambda ( $\lambda$ ) denotes correlation structure used ( $\lambda = 0$ , star phylogeny and  $\lambda = 1$ , Brownian phylogeny).

Model	S		k	AICc	∕∆AlCc	Wi
0. Cova	ariates	$\lambda=1+ ext{Lat}+ ext{Time}$	4	2505.59	3.24	0.07
		$\lambda=$ 0 $+$ Lat $+$ Time	4	2759.27	256.92	0.00
		$\lambda = 1 + Lat$	3	2521.96	19.60	0.00
		$\lambda = 1 + $ Time	3	2555.30	52.94	0.00
1. Gen	1. Genome size	$\lambda = 1 +  ext{Lat} +  ext{Time}$	5	2506.18	3.82	0.05
		$\lambda = 0 + Lat + Time$	5	2731.05	228.69	0.00
		$\lambda = 1 + Lat$	4	2521.94	19.58	0.00
		$\lambda = 1 + $ Time	4	2554.25	51.89	0.00
2. Bre	athing mode	$\lambda = 1 + Lat + Time$	5	2504.30	1.95	0.13
	5	$\lambda=0+$ Lat $+$ Time	5	2644.82	142.46	0.00
		$\lambda = 1 + Lat$	4	2519.78	17.43	0.00
		$\lambda = 1 + \text{Time}$	4	2551.15	48.79	0.00
3. Life	e-stage	$\lambda = 1 + Lat + Time$	5	2503.91	1.55	0.16
		$\lambda = 0 + 1$ at $+$ Time	5	2758.50	256.14	0.00
		$\lambda = 1 + lat$	4	2513.96	11 60	0.00
		$\lambda = 1 + \text{Time}$	4	2551 56	49 20	0.00
4 Hah	itat	$\lambda = 1 + 1$ at $+$ Time	6	2509.48	7 12	0.01
1. 1100	4. Habilal	$\lambda = 0 + lat + Time$	6	2505.10	216.01	0.00
	$\lambda = 0 + Lat$	5	2575 79	210.01	0.00	
	$\lambda = 1 + Lat$	5	2525.75	56.78	0.00	
5 Gon	5. Conomo cizo X Prosthing mode	$\lambda = 1 + 1$ interval $\lambda = 1 + 1$ at $\pm 1$ ime	7	2556.04	J0.20	0.00
5. Genome size × breatning mode	$\lambda = 1 + Lat + Time$	7	2500.45	07.24	0.05	
	$\lambda = 0 + Lat + Init$	6	2599.70	12 00	0.00	
	$\lambda = 1 + Lat$	6	2521.20	10.50	0.00	
6 ( 00	omo cizo X Life stago	$\lambda = 1 + 1$ line	0	2551.27	40.91	0.00
6. Genome size $\times$ Life-stage	$\lambda = 1 + Lat + 1ime$	/	2000.00	4.30	0.04	
	$\lambda = 0 + Lat + 1Ime$	1	2/ 15.88	213.52	0.00	
	$\lambda = 1 + Lat$	6	2515.68	13.32	0.00	
		$\lambda = 1 + 1$ ime	6	2552.49	50.13	0.00
7. Genome size $ imes$ Habitat	$\lambda = 1 + Lat + Iime$	9	2511.67	9.31	0.00	
	$\lambda = 0 + Lat + lime$	9	2669.46	167.10	0.00	
	$\lambda = 1 + Lat$	8	2527.59	25.23	0.00	
	$\lambda = 1 + Time$	8	2553.99	51.64	0.00	
8. Genome size $ imes$ Breathing mode $ imes$ Time	$\lambda = 1 + Lat$	10	2502.36	0.00	0.36	
		$\lambda = 0 + Lat$	10	2590.26	87.90	0.00
9. Gen	ome size $ imes$ Life-stage $ imes$ Time	$\lambda = 1 + Lat$	10	2510.08	7.72	0.01
		$\lambda = 0 + Lat$	10	2718.49	216.13	0.00
10. Genome size $ imes$ Habitat $ imes$ Time	nome size $ imes$ Habitat $ imes$ Time	$\lambda = 1 + Lat$	14	2504.72	2.36	0.11
		$\lambda = 0 + Lat$	14	2669.50	167.14	0.00

heat and cold (figure  $2a_{d}d$ ). Contrary to our expectation, we found no differences in thermal limits between different life stages (figure 2b,e). Intertidal species were shown to be more tolerant to the effects of cold (figure 2f). However, these results should be interpreted with caution in light of low representation of intertidal species in our analyses (five

species for CTmin). Also, this difference for intertidal species was no longer present when phylogenetic relationships were not accounted for (electronic supplementary material, figure S8). Although breathing mode and habitat strongly covaried (most aquatic species are water-breathers and most terrestrial species are air-breathers), variation in CTmax was best

**Table 4.** Results for phylogenetic generalized least-squares (PGLSs) models to explain variation in ectotherms' CTmin (N = 190 species) as a function of log<sub>10</sub>-transformed genome size, breathing mode (air and water), life-stage (adult and non-adult), habitat (aquatic, intertidal and terrestrial) and their interactions. All models were assessed using the absolute latitude (Lat) of the animal collection as a covariate. The number of parameters (k), corrected Akaike's information criterion (AICc), the difference in AICc respect to the model with highest support ( $\Delta$ AICc) and Akaike's weight ( $w_i$ ) are mentioned for each model. Pagel's lambda ( $\lambda$ ) denotes correlation structure used ( $\lambda = 0$ , star phylogeny and  $\lambda = 1$ , Brownian phylogeny).

Models		k	AICc	$\Delta$ AlCc	Wi
0. Covariates	$\lambda = 1 + Lat$	3	1076.21	37.66	0.00
	$\lambda=$ 0 $+$ Lat	3	1157.44	118.88	0.00
1. Genome size	$\lambda = 1 + Lat$	4	1074.03	35.47	0.00
	$\lambda = 0 + Lat$	4	1159.53	120.97	0.00
2. Breathing Mode	$\lambda=1+$ Lat	4	1076.83	38.27	0.00
	$\lambda=$ 0 $+$ Lat	4	1150.57	112.01	0.00
3. Life-stage	$\lambda=1+$ Lat	4	1077.85	39.29	0.00
	$\lambda=$ 0 $+$ Lat	4	1158.68	120.13	0.00
4. Habitat	$\lambda = 1 + Lat$	5	1063.79	25.23	0.00
	$\lambda=$ 0 $+$ Lat	5	1148.50	109.94	0.00
5. Genome size $ imes$ Breathing Mode	$\lambda = 1 + Lat$	6	1070.58	32.02	0.00
	$\lambda=$ 0 $+$ Lat	6	1144.42	105.87	0.00
6. Genome size $\times$ Life-stage	$\lambda=1+$ Lat	6	1075.65	37.09	0.00
	$\lambda=$ 0 $+$ Lat	6	1154.62	116.06	0.00
7. Genome size $\times$ Habitat	$\lambda = 1 + Lat$	8	1046.08	7.53	0.02
	$\lambda=$ 0 $+$ Lat	8	1153.09	114.53	0.00
8. Genome size $ imes$ Breathing mode $ imes$ Time	$\lambda = 1 + Lat$	10	1047.97	9.42	0.01
	$\lambda=$ 0 $+$ Lat	10	1136.59	98.03	0.00
9. Genome size $ imes$ Life-stage $ imes$ Time	$\lambda = 1 + Lat$	10	1045.99	7.43	0.02
	$\lambda=$ 0 $+$ Lat	10	1156.36	117.80	0.00
10. Genome size $ imes$ Habitat $ imes$ Time	$\lambda = 1 + Lat$	14	1038.56	0.00	0.95
	$\lambda=$ 0 $+$ Lat	14	1153.79	115.23	0.00

416 explained by models based on breathing mode (table 1, 417 model 8), not habitat (table 1, model 10). Variation in 418 CTmin was best explained by models based on breathing 419 mode (when including body mass; table 2) and habitat 420 (when including genome size; table 4). Cold tolerance 421 declined (i.e. higher CTmin values) with increasing body 422 mass (figure 1c).

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423 More complex models, testing three interactions (body 424 mass  $\times$  breathing mode  $\times$  exposure duration), showed the 425 highest support to explain variations both in CTmax 426 (tables 1 and 3) and with some exceptions, in CTmin 427 (tables 2 and 4). In general, these models indicate that 428 exposure duration modulates the intensity or even, reverses 429 the direction of the effects of body mass (figure 3) or 430 genome size (figure 4). For water-breathers, larger species 431 were found to have a lower CTmax in long-term experimen-432 tal trials, while the model indicates an opposite effect in 433 short-term trials (figure 3a,b). For cold tolerance, the three-434 way interaction with exposure duration was also important 435 for models including body mass and genome size. Here, 436 air-breathers showed improved cold tolerance (lower 437 CTmin values) with increasing genome size, but only for 438 long-term trials (figure 4*d*).

439 Since different numbers of species were included in our 440 analyses on body mass and genome size, the performance 441 of the models cannot be compared directly. We therefore repeated the analyses in a smaller set of species for which information on both body mass and genome size was available. This smaller set included 433 species for CTmax and 190 species for CTmin. These analyses allowed us not only to compare the contributions of body mass and genome size but also test for possible interactions between body mass and genome size. The results of these analyses were highly consistent with those presented above, that is, models with the highest support, both for the CTmax and CTmin were those that incorporated body mass, genome size, breathing mode and exposure duration. Interestingly, variations in CTmax were mainly driven by those models that considered body mass instead of genome size (electronic supplementary material, table S7). On the contrary, for the CTmin, the model with the highest support ( $w_i = 0.99$ ) was that which considered the three-way interaction of body mass and genome size and exposure duration (electronic supplementary material, table S9).

### 4. Discussion

Body mass is of fundamental importance for the ecology of ectotherms, governing the rates of energy uptake and energy transformation at the organismal level, with subsequent consequences for species interactions and to the



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Figure 1. Partial residuals plots showing the predicted effects of  $\log_{10}$ -transformed body mass and  $\log_{10}$ -transformed genome size in ectotherms' CTmax (top, red) and CTmin (bottom, blue). CTmax (*a,b*) and CTmin plots (*c,d*) were based on the model with the highest support shown in electronic supplementary material, tables S1–S4. Solid lines indicate predictions of models that included all covariates (latitude, time) and phylogenetic relationships, whereas dashed lines indicate predictions of models that included all covariates, but do not account for phylogenetic relationships ( $\lambda = 0$ ). For details on model estimates and significance, see electronic supplementary material, tables S3–S6. (Online version in colour.)

481 ecosystem functioning. Knowing whether the consequences 482 of global warming are size dependent is therefore central, 483 particularly in light of the ongoing global climatic warming. 484 Here, we have taken a comparative approach to shed light on 485 the relationship between thermal tolerance levels and body 486 mass and genome size in ectotherms. A challenge in such 487 large-scale, comparative studies lies in dealing with the 488 unique evolutionary history of the various species [67], as 489 well as dealing with differences in methodology across 490 studies [58,68,69]. Our results show that effects of body 491 mass and genome size on thermal limits (CTmax and 492 CTmin) are context dependent, covarying to some extent 493 with the evolutionary relationships across species and differ-494 ing mainly with breathing mode of a species. The 495 methodology was also influential (see also [70]), as size-496 dependent differences in thermal limits were magnified in 497 long-term trials.

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# (a) Do body mass and genome size relate to thermal limits?

Our results indicate that there is not a simple, straightforward
 answer as to whether body mass and genome size matters in
 defining a species' thermal tolerance or not. If heat tolerance

limits arise from insufficient oxygen provisioning to meet demand, and if such oxygen limitation is more likely to occur in larger ectotherms, we would expect heat tolerance to be more impaired in larger-bodied animals. We found such a relationship, but only in our analyses that did not include phylogenetic relationships. Accounting for phylogeny appears to be a more parsimonious explanation for variation in heat tolerance. Still, even when accounting for phylogenetic relationships, we find size-dependence of heat tolerance, but this was contingent upon exposure duration and breathing mode, with impaired heat tolerance being more apparent in larger, water-breathers animals during longer trials. Owing to the challenge of underwater gas exchange, water-breathers have been argued to be more susceptible to oxygen-limited heat tolerance [16,21]. The timescale is also important here as stress relates to both its intensity and duration [68]. Heat stress may result in energy deficits, and while energy can be generated either aerobically or anaerobically, anaerobic metabolism is much less efficient and more suitable to deal with acute, short-term energy deficits [71]. For fish, it has been suggested that larger species rely more on anaerobic metabolism when faced with energy deficits [72-74] and if this mass scaling generalizes, this could explain why larger species may be better in



Figure 2. Partial residuals plots showing the predicted effects of breathing mode (*a*,*d*), life-stage (*b*,*e*) and habitat (*c*,*f*) in ectotherms' CTmax (top, red) and CTmin (bottom, blue). CTmax (*a*-*c*) and CTmin plots (*d*-*f*) were based on the model with the highest support shown in electronic supplementary material, tables S1 and Q2 S3 that included either breathing mode, life-stage or habitat. Horizontal solid lines are the predicted median of the thermal limits within factor level, conditioned on 2 h of exposure duration (time) and 45° of absolute latitude. For details on model estimates and significance, see electronic supplementary material, tables S3–S6. (Online version in colour.)

coping with short, acute heat stress, but not with prolonged
 heat stress. Given these considerations, it is perhaps not sur prising to find the strongest effects of body mass in
 prolonged trials on water-breathers.

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542 Heat tolerance was lower in water-breathers compared 543 with air-breathers during prolonged trials when they had 544 larger body sizes, but also when they had larger cell size. 545 The observed effects of genome size can also be interpreted 546 from an oxygen perspective, as smaller genome size is 547 coupled to smaller cell size [75], which can promote a more 548 efficient diffusion of oxygen towards the mitochondria 549 owing to increased membrane surface area to cell volume 550 ratios and shorter diffusion distances [24,44,76,77]. Studies 551 on flies and isopods have shown that warming-induced 552 size reductions are more pronounced under hypoxia 553 [29,76,78], supporting the idea of oxygen shortage setting 554 limits to the size that an animal can attain. This idea also 555 implies that oxygen is unlikely to be limiting in when animals 556 have not yet approached their maximum, species-specific size. 557 As the body mass used here is that of the experimental 558 species, in most cases the specimens used in the experiments 559 will not have represented the upper size classes. This may 560 explain why phylogeny better explains the variation in 561 CTmax: Phylogeny is more likely to covary with the maxi-562 mum size that a species can attain, but not necessarily with 563 the size of the individuals used in the experiments. Indeed, 564 early, non-adult life stages (i.e. juveniles and larvae) which 565 by definition are not yet fully developed, both show improved 566 heat tolerance with increasing body mass, contrasting with 567 impaired heat tolerance in adults (electronic supplementary material, figure S9). Along the same line, in a study looking at intraspecific variation in body mass, CTmax improved with body mass in juveniles of a spider species, but deteriorated with size of adults in species of Hemiptera and Collembola [38]. Thus, an oxygen-based mechanism could play a role in heat tolerance but appears to be more relevant for water-breathers and on longer timescales: i.e. exactly those conditions for which a strong warming-induced reduction in body mass has been observed [5].

Unlike heat tolerance, cold tolerance has been suggested to result from depolarization of cell membranes and subsequent cell death [46,79-82], and not from oxygen limitation [83]. Our results also suggests that the mechanisms underpinning CTmin differ from those underpinning of CTmax as the contribution of phylogeny, body mass and genome size to explain variation in CTmin differed when compared to explaining variation in CTmax (electronic supplementary material, tables S3-S6). Models that consider combined effects of body mass and genome size indicate that this combination better explain variation in CTmin, but not variation in CTmax (see electronic supplementary material, tables S7-S10). While a small genome size (or small cell size) may enhance oxygen diffusion, it also entails greater costs in keeping membranes polarized [44,84]. Thus, larger cells may be more cost efficient and this could explain why larger genomes can improve cold tolerance. The effect of such an efficiency-based mechanism would likely be more apparent during prolonged trials, and indeed we found that including the interaction between genome size, habitat and exposure duration showed the highest support across



**Figure 3.** Partial residuals plots showing the interactive effects of body mass and breathing mode (brown and blue for air- and water-breathers, respectively) in ectotherms' CTmax (top) and CTmin (bottom), for short (1<sup>st</sup> quartile) and long-term trials (3<sup>rd</sup> quartile). Predicted lines were based in models with the highest Q2 support shown in tables 1 and 2 and based on the median of absolute latitude. For details on model estimates and significance, see electronic supplementary material, tables S3 and S4. (Online version in colour.)

all models (table 4), showing improved cold tolerance in ter-restrial animals with larger genome during prolonged trials (figure 4). In line with these findings, results on the larvae of the pipevine swallowtail Battus philenor (Linnaeus 1971) suggested that larger species may have more energy reserves for maintaining metabolism during chill coma, thus explain-ing their improved cold tolerance [85]. When coupled to lower mass-specific metabolism in larger animals, such an efficiency mechanism would be generally applicable to the whole size range and not only restricted to the largest size classes within a species. This may explain why cold tolerance is most parsimoniously explained by differences in body mass, rather than phylogeny (which is more likely to covary with maximum size but not necessarily with the size of the animals used in the experiment). Interestingly, these patterns for CTmin were more apparent for air-breath-ers, perhaps because cold tolerance limits in water-breathers are more related to the freezing of water.

# (b) Model fit, phylogenetic correlation structure and covariates

<sup>628</sup> We found evidence of the influence of phylogeny on two <sup>629</sup> fronts. First, both the CTmax and CTmin were phylogeneti-<sup>630</sup> cally structured, displaying high Pagel's  $\lambda$  (electronic supplementary material, table S11) and second, those models incorporating phylogeny generally received greater support (especially for CTmax) compared with those where the evolutionary history of the species was considered independent. Also, the Pagel's  $\lambda$  used in our models ( $\lambda = 1$ ) is highly likely to be representative value of the shared evolution of species represented in our database, since all continuous variables, both independent (body mass, genome size, exposure duration and absolute latitude) and dependent (CTmax and CTmin), showed high phylogenetic signals (all  $\lambda > 0.7$ ) (electronic supplementary material, table S11).

The influence of phylogeny on thermal limits is also evident from the contrasting effects of body mass and genome size between models that considered a Brownian or star phylogeny correlation structure (figure 1). This indicates that body mass and genome size covary with phylogeny, something which is also evident from the high Pagel's  $\lambda$  value for body mass and genome size (electronic supplementary material, table S11). Consequently, incorporating phylogeny already accounts for much of the variation in thermal tolerance, thereby changing the fitted relationship for body mass and genome size. For CTmax, models that included phylogeny always showed greater support, suggesting that the patterns in heat tolerance were more parsimoniously



**Figure 4.** Partial residuals plots showing the interactive effects of genome size and breathing mode (brown and blue for air- and water-breathers, respectively) in ectotherms' CTmax (top) and CTmin (bottom), for short (1st quartile) and long-term trials (3rd quartile). Predicted lines were based in models with the highest Q2 support shown in tables 3 and 4 and based on the median of absolute latitude. For details on model estimates and significance, see electronic supplementary material, tables S5 and S6. (Online version in colour.)

668 explained by including evolutionary history, possibly 669 because phylogeny better captures the maximum body size 670 which may be causally related to CTmax (see above). For 671 CTmin, models that included the species' body mass as an 672 independent variable showed greater support when the evol-673 ution of the species was assumed as independent (i.e. star 674 phylogeny), possibly because here the actual body size of 675 the experimental individuals is causally related to cold toler-676 ance (see above). Overall, our results confirm earlier findings 677 suggesting that evolutionary history matters for thermal tol-678 erance [86-89], especially for heat tolerance [86,90] and also 679 suggest that this applies to the much larger set of ectotherm 680 species, including insects, crustaceans, fish, amphibians and 681 reptiles. Our results also point out the importance of includ-682 ing, mainly methodological aspects as covariates into 683 modelling (see also [70]). Of the four methodological aspects 684 evaluated in the preliminary models (absolute latitude, 685 exposure duration, acclimation time and origin), latitude 686 and exposure duration were consistently included in the 687 best-fitted models. The absolute latitude of the site where ani-688 mals were collected consistently shifted thermal windows, 689 impairing the heat tolerance and improving the cold toler-690 ance at higher latitudes (electronic supplementary material, 691 figure S7). While their effects were not the focus of our ana-692 lyses, these results reinforce the clear patterns of thermal 693 tolerance across latitudinal gradients documented in the

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> literature [12] (see also [70]). The exposure duration was also consistently included in the best-fitted models, with long-term trials consistently reducing CTmax. This indicates that methodological variation explains a significant part of the variation in CTmax and adding exposure duration as covariate may help to reveal more clearly the effects of other factors, such as that of latitude [68]. Furthermore, the inclusion of exposure duration as a covariate has direct biological meaning as tolerance to high-temperature conditions is time-dependent [68].

#### 5. Conclusion

In conclusion, for CTmax we found that support for the oxygen limitation hypothesis was limited to long-term trials in larger-bodied water-breathers. For CTmin, we found improved cold tolerance for air-breather animals with larger genomes, again when considering long-term trials. Coping with thermal stress on long timescales requires sustained energy generation. Long-term heat resistance appears to be enhanced in smaller-bodied, water-breathing species, possibly as this enables a higher capacity to generate energy aerobically and efficiently. On the other hand, long-term cold resistance appears to be enhanced in species with a larger body mass and cell size (i.e. more energy reserves

694 and lower energetic costs), which appeared especially impor-695 tant for air-breathers. Incorporating the exposure duration of 696 the experimental trials can reveal body and genome size-697 dependence of thermal tolerance, with body size being 698 more important for CTmax and water-breathers and 699 genome size being more important for CTmin and air-breath-700 ers. Our results highlight the importance of accounting for 701 phylogeny and exposure duration. Especially when consider-702 ing long-term trials these effects are more in line with the 703 warming-induced reduction in body mass observed during 704 long-term rearing experiments [5] and over past extinctions 705 [8]. Explicitly incorporating time scale may thus hold the 706 key to resolve discrepancies between short-term trials that 707 do not always find evidence for oxygen limitation and the 708 results of long-term laboratory and field studies that do 709 suggest a role for oxygen limitation.

Data accessibility. The dataset supporting this article have been uploaded as part of the electronic supplementary material.

Authors' contributions. F.P.L. extracted the data from the articles; F.P.L. conducted the statistical analyses and prepared figures with inputs from W.C.E.P.V. and P.C.; F.P.L. and W.C.E.P.V. wrote the first version of the manuscript with inputs from P.C. All authors contributed and approved the final version of the MS.

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