

1 TITLE: Occupational Health and Safety Portrait of Lobster Fishers from a St. Lawrence' Gulf  
2 Community.

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4 Running title: Lobster Fishers' Health and Safety  
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32 Abstract

33 Lobstering industry workers are known to have poor overall health and low safety records, but there  
34 is still a gap in information concerning Canadian lobster fishers. To report occupational health and  
35 safety characteristics of an Atlantic Canada community of lobster fishers and to assess differences  
36 between captains and deckhands. Twenty-eight participants (10 captains, 18 deckhands) were  
37 questioned and self-reported on lifestyle, general health status, work-related musculoskeletal  
38 disorders and traumatic injuries. The data collected reveals both groups' high prevalence of  
39 cardiometabolic and musculoskeletal health issues. Captains reported more occupational exposition  
40 and health issues as well as showed poorer lifestyle habits than deckhands. Fishers reported potential  
41 solutions to reduce occupational risks, presented in three types: lifestyle, working behaviours and  
42 leadership. This study evaluated a community of Canadian lobster fishers regarding their occupational  
43 health and safety. Potential avenues for mitigating occupational risk specific to this community will  
44 nurture future implementation.

45 Keywords

46 Fishing, Physical activity, Risk factors, Cardiovascular diseases, Musculoskeletal disorders,  
47 Occupational injuries, Health promotion, Lifestyle habits.

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50 1. INTRODUCTION

51 Commercial fishing is an important industry to the Canadian economy and is vital for 1100 coastal  
52 communities [1]. In 2018, the annual value of landings exceeded 3.7 billion CAD\$ and represented  
53 nearly 46,000 direct jobs (captains, deckhands) [1]. The fish and seafood processing industry depends  
54 on harvesting activity and represents a value of 6.6 billion CAD\$ and nearly 27,000 jobs [1]. One of the  
55 biggest proportions is related to the lobstering industry which contributes to nearly 45% of the total  
56 landed value and is estimated to be worth over 1.4 billion CAD\$ [1]. The lobstering industry is located  
57 on the Atlantic coast, which includes Nova Scotia (54.4 %), New Brunswick (20.6 %), Prince-Edouard  
58 Island (12.4%), Québec (8.3%), and Newfoundland-and-Labrador (3.3%) provinces (proportion of  
59 commercial landings value)[2].

60 As with many others, the flip side of this type of fishery is the high cost related to this hazardous  
61 occupation since they are known to have poor overall health and low safety records. In the United  
62 States of America (USA) as an example, the "fishing and hunting industry" has the highest rate of  
63 occupational death, which is nearly 10 times higher than police officers (132.1 vs. 13.4 fatalities per  
64 100 000 workers, respectively) and 6 times more than agricultural workers (132.1 vs. 20.9 fatalities per  
65 100 000 workers, respectively) [3]. In Canada, there are no centralized data comparing professions or  
66 industries. However, the Transportation Safety Board of Canada (TSB) reported 114 fishers' fatalities  
67 between 2011 and 2021 [4]. Also, a TSB's older report reveals that lobster fishing is associated with  
68 the largest number of fatalities, i.e. approximately 20 % of all fatalities reported between 1999-2010  
69 [4]. It was documented that each fisher's death cost over 2 million CAD\$ to the Canadian economy,  
70 but more importantly they directly impacted workers' families and the whole community [5].

71 In the USA, Fulmer's team published the most extensive studies of occupational health and safety  
72 (OHS) among lobster fishers from two Atlantic coastal states (Maine and Massachusetts)[6-8]. The  
73 incidence rates for all injuries were nearly 50 % (in full-time equivalent, FTE) of which 15 % required  
74 treatment [6,8]. Approximately 82 % of their lobster fishers' sample (n = 395) reported experiencing

75 musculoskeletal pain in the past 3 months. Back (59.8 %), shoulder (38.5 %), hand/wrist (29.1%) and  
76 knee/shin (26.6 %) were the most frequent sites of reported pain. Interestingly, the authors compared  
77 captains and deckhands, and they observed a higher prevalence of pain among the captains for the  
78 past three months compared to their deckhands (84.5 % vs. 74.2 %, respectively)[6,8].

79 While Fulmer's studies shed light on occupational injury or musculoskeletal disorders of American  
80 Lobster fishers, it is hard to find comparable data in Canada. Indeed, there is no data centralization,  
81 and each province has its own OHS bills and rules. For instances, in New Brunswick, lobsters' fishers  
82 can be covered by personal insurance paid by himself or the captain through their professional  
83 association, while in Québec, they are covered through the public worker's compensation organization  
84 (i.e. Commission des normes, de l'équité, de la santé et de la sécurité du travail; CNESST). Nonetheless,  
85 it is possible to provide some insight related to occupational injuries. Lobstering was liable for nearly  
86 21% of CNESST claims in the fishing industry between 2005-2015 [5], representing the first claim source  
87 in this workers' group. No nature or type of claimed injury was provided by the organization. The  
88 CNESST also reported that the average cost per injury claim for the lobster fishers was approximately  
89 4 times higher than average workers' claims from other industries [5] (206 500 CAD\$ vs. 52 000 CAD\$,  
90 respectively). A lobster fisher that cannot work because of an occupational injury will be impacted  
91 financially but it will also have a social costs [9].

92 Considering the gap in information among Canadian lobster fishers, the objective of the current study  
93 was to explore the OHS characteristics of a St. Lawrence's Gulf community of lobstering fishers for  
94 captains and deckhands. In addition, it sought to identify solutions to reduce or help to prevent  
95 traumatic injury and musculoskeletal disorders.

## 96 2. MATERIALS AND METHODS

### 97 2.1 Design

98 A cross-sectional observational-based design was adopted to achieve the objective of this project. A  
99 series of self-reported questionnaires was administered to the cohort after the 2022 lobstering season.  
100 This study received institutional research ethics board approval (CER-120-952).

## 101 2.2 Participants

102 The recruitment was done directly on the wharf of a North-Eastern Acadian community in New  
103 Brunswick (Canada) during the lobstering 2022 season, which comprised forty-three crews. This  
104 approach was used to facilitate the development of a trusting relationship between the crews and the  
105 research team. From that ten crews volunteered to participate in this project. Eight crews were formed  
106 of 1 captain and 2 deckhands, and two other crews with 1 captain and 3 deckhands. Within the 32  
107 fishers initially interested, four deckhands decided to withdraw from the study. Thus, twenty-eight  
108 lobster fishers were participants (n = 1 female, 27 males) and were divided into two groups, according  
109 to their respective roles on the fishing boat (i.e. 10 captains, 18 deckhands). No participants were  
110 thereafter excluded. The demographic characteristics are presented in the results section.

## 111 2.3 Procedures

112 The data was collected on the phone when the participants deemed it appropriate. Each collection  
113 was performed confidentially and individually by the same researcher assuring that the data collection  
114 was performed consistently and reliably. Upon the phone call, each participant was briefed on the  
115 objective of the project and the data collection procedure. A researcher read the letter of information  
116 and the consent form. After answering the questions and providing verbal approval to participate in  
117 this project, the participant started a sixty-minute assessment.

## 118 2.4 Data collection

119 Participants self-reported all information collected, which was divided into eight questionnaires. Here  
120 is the detail of the questionnaires:

- 121 1. The socio-demographic and professional questionnaire was the first questionnaire and asked  
122 questions about sex, age, years of experience and years of education. In addition, participants  
123 were asked about the number of weeks they worked during the lobstering season, the average  
124 hours per week they worked, how many days off (or rest day) they had, and how many traps they  
125 lifted on average per day.
- 126 2. In the second questionnaire, participants reported if they were suffering from diagnosed cardio-  
127 metabolic issues (high blood pressure, dyslipidemia or hypercholesterolemia, diabetes, high  
128 glycemia, ...), respiratory issues (asthma, sleep apnea, emphysema, ...), musculoskeletal issues  
129 (musculoskeletal disorders, arthritis, ...), chronic pain, psychosocial issues (anxiety, depression,  
130 PTSD, ...). They also reported if they were smokers or not (cigarette, e-cigarette), and if they used  
131 regular medications (prescribed or not) and their type of usage.
- 132 3. The third questionnaire was related to Framingham's non-laboratory-based equation validated  
133 by D'Agostino et al. [10]. This equation is based on seven parameters (sex, age, medications for  
134 high blood pressure, diabetes, smoking, body mass index (BMI), and systolic blood pressure) and  
135 provides a 10-year cardiovascular disease (CVD) risk categorized as low, moderate, and high.
- 136 4. The fourth questionnaire addressed sleep quality during the lobstering season and was assessed  
137 by the Pittsburgh Sleep Quality Index (PSQI). The PSQI evaluates seven aspects of sleeping (quality,  
138 latency, duration, efficiency, disturbances, medication, daytime dysfunction) and distinguishes  
139 two types of sleepers: poor and good. The PSQI was first introduced by Buysse et al. [11], where  
140 they validated the internal homogeneity, consistency, validity as well as the sensitivity and  
141 specificity.
- 142 5. The fifth questionnaire used Huet's equation [12] to estimate cardiovascular fitness level by  
143 prediction of the maximal oxygen uptake ( $VO_2$  max). The equation used ten questions about  
144 physical practice (intensity, type, recurrency, duration, effort perception), practice history (as a  
145 teenager), smoking, weight, performance level history, and health conditions. The validity and  
146 reliability were measured by Trivel et al. [12]. Furthermore, an additional question was asked to

147 the participants: "Does the lobstering season impact your physical activity practice?" There were  
148 five possible answers: "continued", "increased", "decreased", "stopped", "already not practicing".

149 6. The sixth questionnaire was the Nordic Questionnaire [13,14]. This questionnaire is a validated  
150 tool measuring the history of work-related musculoskeletal disorders (MSD) and their  
151 occupational impact in the last 12 months and in the last 7 days [13,14]. The questionnaire is  
152 divided by anatomical regions: neck, shoulder, elbow, hand, wrist, back (upper, lower), hip, thigh,  
153 knee, ankle and feet. Additionally, the participants were asked if they usually work despite MSD  
154 and which ones were caused by the lobstering activities as well as the causes of them.

155 7. For the seventh questionnaire, participants were asked to report the last five years of traumatic  
156 lobstering-related injuries related to a single event, including the body region, type of injury and  
157 its cause.

158 8. The eighth questionnaire was an open-ended question: "What would you suggest to help reduce  
159 the risk of injury on board of lobster fishing boat (this includes but is not limited to accidents and  
160 difficult work tasks)? Or in other words, what advice would you give to someone starting on a  
161 lobster boat to avoid injury?"

## 162 2.5 Data processing

163 All data from the questionnaires were recorded on paper and subsequently transferred electronically.  
164 Afterward, some data were computed to be categorized. More precisely, participants' BMI was  
165 calculated and categorized using Health Canada guidelines [15]. The data from Framingham's non-  
166 laboratory-based questionnaire was used to compute 10-year cardiovascular disease (CVD) risk [10].  
167 Based on data from the Huet's questionnaire, an estimated  $VO_2$  max was calculated following the  
168 equations of Huet [12]. Considering that the equations were validated on ergocycle, the categorization  
169 of participants' level of cardiovascular fitness was done according to ergocycle guidelines from the  
170 ACSM [16]. The guideline divided cardiovascular fitness into five levels (poor, fair, good, superior,  
171 excellent) categorized by age and sex. Accordingly to Buysse et al. [11], the data from Pittsburgh Sleep  
172 Quality Index (PSQI) questionnaires was used to compute and categorize sleep quality. After analyzing

173 all participants' answers to the open-ended question (suggestions about how to reduce the risk of  
174 injury), it was decided to group the data into three categories: lifestyle habits, behaviours adopted  
175 during lobstering activity and leadership.

## 176 2.6 Descriptive statistics

177 The data were divided into two groups: captains and deckhands. Demographic characteristics are  
178 presented using the spread ( $m \pm SD$ ). Descriptive statistics were also used to describe the prevalence  
179 (number of individuals ( $n$ ) and its proportion (%)) and distribution of the occupational health and injury  
180 characteristics.

## 181 3. RESULTS

### 182 3.1 Socio-demographic and professional

183 Twenty-eight lobstering fishers were divided into two groups according to their role. The first group  
184 was ten male captains aged  $54.1 \pm 9.9$  years with  $23.3 \pm 12.0$  years of experience as captains and they  
185 had been  $31.5 \pm 14.7$  years in the lobstering industry. The second group was eighteen deckhands where  
186 seventeen were males and one participant was female. The deckhands' age and years of experience  
187 were  $41.0 \pm 11.6$  years and  $14.9 \pm 8.1$  years, respectively. Table 1 presents the socio-demographic and  
188 professional characteristics of the cohort.

189 In 2022, the lobster fishing area 23 was open from May 3<sup>rd</sup> until June 30<sup>th</sup> (59 days). The cohort reported  
190 for this season that captains and deckhands' groups have worked on average, respectively,  $63.5 \pm 8.8$   
191 hours and  $64.7 \pm 8.7$  hours per week (7 days per week). Also, both groups reported having taken,  
192 respectively,  $1.6 \pm 0.9$  days off and  $1.9 \pm 1.1$  days off for the whole season. Most of the days off were  
193 forced by weather and dangerous navigation conditions. The captain at the wharf decides to take a  
194 day off, which means that the crew must be gathered at the fishing boat while the captains decide,  
195 and then return home for the rest of the day. Two deckhands have taken longer time off (2 additional  
196 days) because of sickness absences. One crew (1 captain, 2 deckhands) had stopped for a couple of

197 additional days because of a mechanical problem (boat). Each captain stated that they used 300 traps  
198 daily (quota restricted by Fisheries and Oceans Canada; DFO, 2022). Approximately 80 % of both groups  
199 had at least another job outside of the lobstering season, whereas 75 % of captains (who worked  
200 outside of the lobstering season), worked in other fisheries compared to 44.4 % of the deckhands.

### 201 3.2 General Health

202 Table 2 presents the BMI distribution among that cohort of lobster fishers and shows that 80.0 % of  
203 the captains were overweight or obese compared to 66.7 % of the deckhands. The average BMI was  
204 similar between both groups ( $28.0 \pm 3.3 \text{ kg/m}^2$  and  $27.5 \pm 3.9 \text{ kg/m}^2$ , respectively). Table 3 reveals a  
205 higher prevalence of self-reported health issues (cardiometabolic, respiratory, musculoskeletal,  
206 psychosocial, chronic pain) among the captains' group. More precisely, the results show that 50 % of  
207 the captains' group had a moderate to high risk of developing CVDs in the next 10 years compared to  
208 30 % of the deckhands' group (Table 4). Table 5 shows that the percentile of the estimated  $\text{VO}^2$  max  
209 was also higher for the deckhand group than captains ( $84.7 \pm 8.7$  vs.  $68.0 \pm 19.4$  percentile,  
210 respectively). More precisely 83.3 % of the deckhands' group had  $\text{VO}^2$  max superior to excellent  
211 compared to 40.0 % of the captains' group.

### 212 3.3 Lifestyle Habits

213 There was a higher prevalence of smokers among the deckhands' group (22.2 % vs 10.0 %) (Table 3).  
214 Table 6 presents that the captain group has a higher prevalence of poor sleep quality than the  
215 deckhands' group (50.0 % vs. 33.3 %). Table 7 presents the physical activity habits of both groups and  
216 shows that the deckhands' group was more physically active during and out of the lobstering season  
217 than the captains' group (88.9 % vs. 50.0 %).

### 218 3.4 Musculoskeletal issues

219 The data from two types of musculoskeletal issues were collected, traumatic injuries and MSDs (Table  
220 8). No injury was self-reported for the 2022 fishing season among both groups. Nonetheless, one-third

221 of the participants were injured in the last five years (Table 9). Despite that no specific cause of injury  
222 was found, it seems that hand/wrist and thigh were affected for both groups. Most of the cohort  
223 suffered from MSD while working during the lobstering season. More precisely captains seem to suffer  
224 more from MSD than the deckhands' group (90.0 % vs. 66.7 %). Captains had a high prevalence ( $\geq 40.0$   
225 %) of shoulder, back and neck issues compared to deckhands with hand and back issues. Forty percent  
226 of the captain group reported having at least one MSD caused by lobstering duty and it was 22.2 % for  
227 the deckhands' group. Among these participants, one captain had MSDs caused by awkward postures  
228 while steering the fishing boat. Three captains and one deckhand had reported issues caused by  
229 repeated motion while handling the lobsters and other species. Two deckhands reported that awkward  
230 postures while handling gaff, trawl line and buoy or when using high force while pulling traps were the  
231 causes of MSDs. One deckhand reported that standing up on a moving fishing boat was the cause of  
232 his MSD.

233 Table 10 presents the summary of answers related to the questions "*how to prevent or reduce the risk*  
234 *of injury and musculoskeletal disorders among lobstering fishers?*". It is possible to divide all the  
235 answers collected into three categories. The first category was the most answered among the cohort  
236 (100.0 %) and was related to lifestyle habits. More precisely, it was found that all captains and 83.3 %  
237 of the deckhands' group consider that an adequate physical condition could help to reduce the risk of  
238 injury or MSD. Thirty percent of the captains and 50.0 % of the deckhands' group identified that fitness  
239 level must be maintained or enhanced. Four means have been reported by the fisher's cohort: staying  
240 physically active all year, participating in a pre-season workout (one to three months prior to the  
241 lobstering season), doing strength and cardio workouts (all year or pre-season workout) and  
242 performing warm-up routines (stretching and mobility exercises) on the fishing boat during the first  
243 transit (from the wharf to the first series of traps).

244 In the second category, 50.0 % of the captain and 44.4 % of the deckhands' groups suggested  
245 behaviours to be adopted during lobstering activities to reduce the risk of traumatic injuries and MSDs.

246 The third category was suggested by 30.0 % of the captains and 77.7 % of the deckhands' group where  
247 leadership enhanced had been suggested (for captains and deckhands). The item "captain  
248 responsibilities" referred more to a "cause" than to a solution to enhance the safety on board, where  
249 the captains must have good navigation skills and decision-making processes such as managing harsh  
250 weather and working pace in a moving environment. Moving trap lines which is a decision made by  
251 the captain, to take on board traps and move them to another area to evaluate, if it would be more  
252 productive, was also identified as a risky situation traps are stacked on deck, thus reducing the working  
253 area and increasing the risk of falling traps once they are piled-up.

#### 254 4. Discussion

255 The data collected provides an occupational health and safety portrait of a lobster fisher's community  
256 in the St-Lawrence Gulf (within an Acadian community from North-Eastern New Brunswick). Overall,  
257 the data reveal that lobster fishers had a high prevalence of cardiometabolic and musculoskeletal  
258 health issues (60.7 % and 75.0%, respectively). Three-quarters of the lobster fishers reported working  
259 with musculoskeletal pain or discomfort during the lobstering season in 2022, and a third of the fishers  
260 reported having a work-related traumatic injury in the past five years. More specifically captains  
261 reported suffering from more health issues and showed poorer lifestyle habits than the deckhands,  
262 but both groups showed a similar prevalence of traumatic injury related to lobstering.

263 Considering the lack of research in this area, comparing our findings with other studies remains  
264 difficult. Nonetheless, it is possible to rely on common trends with lobster fishers from the Maine and  
265 Massachusetts states in the USA [6–8]. In fact, Fulmer et al. [7] evaluated the musculoskeletal health  
266 of a large number of lobster fishers' cohort (n=395) that is comparable to our sample in terms of years  
267 of age, experience, and difference between captains and deckhands regarding years of age and  
268 experience. We observed a higher prevalence of musculoskeletal disorders (MSDs) when the  
269 participants worked (i.e.: felt in the past seven days) than in Fulmer's study (75% vs 60%). However,  
270 the same trend appears where deckhands are more afflicted with hand/wrist and back issues than

271 captains, and captains reported more neck problems than deckhands. These similarities and disparities  
272 are understandable between both studies (Fulmer et al. [7] and the current study) considering that the  
273 same occupational activity but performed in different contexts. Compared to Canada, in the USA, there  
274 is no lobstering season per se, the lobstering is practiced across the year. Indeed, In the USA, there is  
275 no lobstering season per se and the lobstering is practiced across the year. Assuming that a quarter is  
276 62.5 days, USA lobster fishers (Maine, Massachusetts) work approximately 383 hours in their higher  
277 quarter (summer) [6,8]. In Canada, in the lobster fishing area 23, 2022 season was established to 59  
278 days. Of these, our cohort had worked 57 days, approximately 9 hours per day, which represent a total  
279 of 513 hours for the spring quarter. Therefore, it appears that the Canadian lobstering context is  
280 performed more likely in an intensive way. Consequently, it might explain why the current cohort  
281 presents a higher prevalence of MSDs. Despite the lobstering periods, there are regional differences  
282 among the equipment and techniques used. Anecdotally, during the data collection, some fishers  
283 reported differences in the lobster fishing industry. For instance, no generic hauler is used, and each  
284 fishing boat uses its own hauling system. More studies would be useful to understand the impacts of  
285 diverse lobstering practices on the musculoskeletal health of lobster fishers, and on its optimization in  
286 order to reduce this high prevalence of MSDs.

287 Furthermore, the result of the current study highlights the occupational health differences among  
288 captains and deckhands. As mentioned earlier, captains showed poor health and lifestyle habits, which  
289 might be explained by higher age, year of experience (or total occupational exposition), and high level  
290 of responsibilities [6,8]. It is well-known and documented that age and total occupational exposition  
291 (year of experience) are associated with an increased risk of developing or amplifying existing health  
292 issues (musculoskeletal, cardiometabolic). Even though the current study did not directly assess the  
293 influence of responsibilities, it is more likely to assume that the captains, who are self-employed  
294 workers running a business, are more inclined to have poor health issues and lifestyle habits. In fact, it  
295 is documented that self-employment is associated with a greater risk of developing or amplifying  
296 cardiovascular and psychosocial issues as well as sleep disturbances than the employees

297 (deckhands)[17,18]. In addition, Lee et al. [18] observed an increased risk of psychosocial issues over  
298 50 years old, which is the average age of the current captain's group. Whether it is the captain's health  
299 or the impact of the captain's responsibilities on the crew's safety, they are a lack of interest from the  
300 researchers.

301 Deckhands reported better health status than captains as well as they reported fewer health issues  
302 and healthier lifestyle habits. Outside of the lobstering season, nearly 90 % of the deckhands' group  
303 practiced physical activity regularly, and more than half added their practice close to the World Health  
304 Organization (WHO) adults' recommendations of; "*... at least 150–300 minutes of moderate-intensity  
305 or at least 75–150 minutes of vigorous-intensity aerobic physical activity [...] and also muscle-  
306 strengthening activities at a moderate or greater intensity that involve all major muscle groups on 2 or  
307 more days a week*" [19]. The practice of regular physical activities is known to reduce the risk of chronic  
308 health conditions [19], which might help to explain why deckhands reported fewer health issues.  
309 Nonetheless, the most likely reason might be related to traumatic injury and MSD prevention. The  
310 deckhands' answers appear related and consistent between physical activity practice and the best way  
311 to prevent traumatic injury and MSD questionnaires, where deckhands reveal that they regularly  
312 practice physical activity and recommend the practice of physical activity to reduce the risk of  
313 traumatic injury and MSD. Thus, it seems that the prevention of health conditions was, fortunately, a  
314 side effect. Promoting physical activity practice among lobster fishers must be encouraged, using a  
315 social marketing approach per se [20]. The promotion must include open access to training programs  
316 (i.e. structured strength and cardiovascular exercises) tailored to the occupational demands.

317 Traumatic injuries and MSDs are an important part of the OHS risk in lobster fishers. Previously, it was  
318 explored that the practice of physical activity appears to influence the risk positively (by reducing it)  
319 [19]. Nevertheless, the recommendations of lobster fishers also covered the adoption of behaviours  
320 and leadership on the fishing boat. The behaviours category can be perceived as safety behaviours that  
321 need to be adopted by deckhands to stay safe and the general attitude toward the deckhand

322 professions (acceptance of the job' context, job satisfaction). The recommendations of being focused  
323 and aware were related to the prevention of traumatic injuries while lifting without exertion and asking  
324 for help were more related to the prevention of MSDs. The "taking your time" recommendation was  
325 oriented to both (i.e. traumatic injury, MSD). Either way, based on these recommendations, there is  
326 limited influence on the causes of work-related injury and MSDs. However, the leadership category  
327 might raise some explanations and ways to prevent further work-related injuries and disorders.

328 Most of the leadership category recommendations were toward the captain, even though the  
329 teamworking was oriented within the whole crew. According to the answers, captains have an  
330 important part in the OHS of the crew. Captains are responsible for adequately training their  
331 employees, ensuring the equipment's maintenance and improvement, providing the necessary resting  
332 time, and acquiring adequate navigation skills. Moreover, captains make difficult decisions that impact  
333 the crew's safety, like, moving trap lines. To do so, deckhands must take on board the traps and stack  
334 them up on deck, increasing the risk of falling traps. In fact, this specific event occurred to a deckhand  
335 who was a participant in this study. Captains play an important role in the occupational safety of their  
336 crew, however, responsibilities it also shared, where all the responsibilities do not stand alone to the  
337 captains, but also to the whole crew. Even though captains significantly impact safety on board, some  
338 workers might perceive changes as challenging. For example, a captain who wanted to reduce  
339 musculoskeletal discomfort related to repetitive motions suggested a rotation in duty stations among  
340 his deckhands, who rejected the idea. Occupational health and safety develops along a non-linear path,  
341 where captains and deckhands are partners. It is essential to continue the research to better  
342 understand the influence of each partner in this endeavour, but also to help tailor interventions toward  
343 lobster fishers' realities.

344 A knowledge transfer process combined with a human-centered design approach could facilitate the  
345 co-construction of health interventions with local stakeholders that are better tailored to their realities  
346 [21]. By relying on the use of techniques that enable the people involved to communicate, interact,

347 empathize and stimulate, the human-centered design approach is a creative problem-solving  
348 framework that allows an understanding of needs, desires and experiences that often goes beyond  
349 what the people themselves have realized in relation to the problem to be solved [22]. In a future  
350 project, we would like to explore how the combination of knowledge transfer and human-centered  
351 design can facilitate the development of occupational health and safety interventions from a  
352 perspective by, for and with lobster fishers.

#### 353 4.1 Limitations

354 The data were collected from questionnaires, thus it was a self-reported perception. Considering that  
355 the responses were obtained during telephone interviews, a social desirability bias can also be present.  
356 However, due to the number of questionnaires to be completed in this research, and the potential of  
357 low literacy level of some participants, it would have been difficult to use self-administered  
358 questionnaires which would have reduced the occurrence of social desirability bias [23]. In addition,  
359 objective and direct measurements should be performed in further study to confirm our observations.

360 There was only one woman, therefore preventing us from portraying a more accurate depiction of the  
361 work-related risk of injuries and MSDs for females in the lobster industry. This portrait of a North-  
362 Eastern Acadian community in New Brunswick is only a piece of the Canadian puzzle since other fishing  
363 communities display different challenges due to the heterogeneity of the population, work-related  
364 skills, boat and equipment used, navigation and geographical context, lobster habitats and  
365 environment as well as federal and provincial regulations.

366 The small sample limits also the generalizability of our results. This study is, however, part of a long-  
367 term perspective, as in subsequent phases we intend to co-construct, implement and evaluate  
368 occupational health and safety interventions in the same setting. The results of this study will therefore  
369 serve as a baseline for evaluating the effectiveness of future interventions.

#### 370 5. Conclusions

371 To our knowledge, this study was the first evaluation of occupational health and safety among  
372 Canadian lobster fishers. More importantly, it has highlighted potential interventions to reduce the  
373 health and safety burden among these communities. Since the challenges and solutions are brought  
374 up by the community, it is more likely that an intervention may be implemented by and for them. By  
375 having such a substantial economic benefit for Canada, we should also seek to develop and implement  
376 successful ergonomic and public health interventions to take care of these fishing communities more  
377 broadly.

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379 Statements and Declarations

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385 Data availability: The data supporting this study's findings are available upon reasonable request from  
386 the corresponding author. Although the data is not publicly available, it can be made available upon  
387 reasonable request. This is necessary to protect the privacy of research participants, and to ensure  
388 that confidential information is not compromised.

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456 *Quant* 2013;47:2025–47. <https://doi.org/10.1007/s11135-011-9640-9>.
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- 458

460 Table 1. Self-reported socio-demographic and professional characteristics among a cohort of 28  
461 lobster fishers.

Categories	Group	
	Captains (n = 10) n (%)	Deckhands (n = 18) n (%)
<b>Age [years]</b>		
Average (m ± SD)	54.1 ± 9.9	41.0 ± 11.6
< 30	0 (0.0)	6 (33.3)
31–40	1 (10.0)	1 (5.6)
41–50	4 (40.0)	6 (33.3)
51–60	1 (10.0)	3 (16.7)
>60	4 (40.0)	2 (11.1)
<b>Education [years]</b>		
Average (m ± SD)	11.3 ± 2.1	11.8 ± 1.5
≤ 6 (elementary)	0 (0.0)	0 (0.0)
7–9 (middle school)	3 (30.0)	2 (11.1)
10–12 (high school)	5 (50.0)	8 (44.4)
>12 (college, university)	2 (20.0)	8 (44.4)
<b>Professional experience</b>		
<i>Lobstering</i> [years]		
Average (m ± SD)	31.5 ± 14.7	14.9 ± 8.1
≤ 5	2 (20.0)	5 (27.8)
6–15	0 (0.0)	6 (33.3)
16–25	2 (20.0)	4 (22.2)
26–35	2 (20.0)	1 (5.6)
>35	4 (40.0)	1 (5.6)
<i>As captain</i> [years]		
Average (m ± SD)	23.3 ± 12.0	
≤ 5	3 (30.0)	
6–15	0 (0.0)	NA
16–25	4 (40.0)	
26–35	1 (10.0)	
>35	2 (20.0)	
<b>Hebdomadary working (7 days)</b>		
Average [hour/week] (m ± SD)	63.5 ± 8.8	64.7 ± 8.7
≤ 45	1 (10.0)	2 (11.1)
46–55	3 (30.0)	3 (16.7)
56–65	1 (10.0)	5 (27.8)
66–75	5 (50.0)	5 (27.8)
>75	0 (0.0)	3 (16.7)
<b>Day off during whole lobstering season [day]</b>		
Average (m ± SD)	1.6 ± 0.3	1.9 ± 0.3
1–2	9 (90.0)	14 (77.8)
3–4	0	2 (11.1)
5–6	1 (10.0)	2 (11.1)
<b>Other jobs outside lobstering</b>		
Yes	8 (80.0)	15 (83.3)
No	2 (20.0)	3 (16.7)

Note. n = number of individuals; % = percentage based on the group; NA = non-applicable.

462

463 Table 2. Distribution of BMI among a cohort of 28 lobster fishers.

Classification BMI (kg/m <sup>2</sup> )	Group	
	Captains (n = 10) n (%)	Deckhands (n = 18) n (%)
Normal weight	2 (20.0)	5 (27.8)
Overweight	4 (40.0)	7 (38.9)
Obese class I	4 (40.0)	6 (33.3)

Note. n = number of individuals; % = percentage based on the group. Classification of Body Mass Index (BMI) is based on: Health Canada. Canadian Guidelines for Body Weight Classification in Adults: Quick Reference Tool for Professionals. 2003.

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466 Table 3. Self-reported health characteristics among a cohort of 28 lobster fishers.

	Group	
	Captains (n = 10) n (%)	Deckhands (n = 18) n (%)
Cardiometabolic issues	8 (80.0)	9 (50.0)
- High blood pressure (HBP)	3 (30.0)	4 (22.2)
- Dyslipidemia/hypercholesterolemia (lipidemia)	3 (30.0)	1 (5.6)
- High glycemia	0 (0.0)	1 (5.6)
Respiratory issues	3 (30.0)	4 (22.2)
- Asthma	2 (20.0)	2 (11.1)
- Sleep apnea	1 (10.0)	2 (11.1)
Musculoskeletal issues	9 (90.0)	12 (66.7)
- Musculoskeletal disorders	9 (90.0)	12 (66.7)
- Arthritis	1 (10.0)	1 (5.6)
Chronic pain	3 (30.0)	4 (22.2)
Psychosocial issues	3 (30.0)	3 (16.7)
- Anxiety disorders	3 (30.0)	3 (16.7)
Smoker	1 (10.0)	4 (22.2)
Medication	8 (80.0)	8 (44.4)
- HBP	3 (30.0)	4 (22.2)
- Lipidemia	1 (10.0)	0 (0.0)
- Pain	3 (30.0)	2 (11.1)
- Anxiety	2 (20.0)	2 (11.1)
- Sleep	4 (40.0)	3 (16.7)
- Asthma	2 (20.0)	0 (0.0)
- Gastric	1 (10.0)	1 (5.6)

Values presented: n = number of individuals; % = percentage based on the group.

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470 Table 4. 10-year cardiovascular disease (CVD) risk among a cohort of 28 lobster fishers.

Risk Level	Group	
	Captains (n = 10) n (%)	Deckhands (n = 18) n (%)
Low	3 (30.0)	9 (50.0)
Moderate	3 (30.0)	6 (33.3)
High	4 (40.0)	3 (16.7)

Note. The risk was computed from Framingham's non-laboratory-based equation (D'Agostino et al. 2008). Values presented: n = number of individuals; % = percentage based on the group.

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473 Table 5. Categorization of the estimated VO<sup>2</sup>max among a cohort of 28 lobster fishers

Categories	Group	
	Captains (n = 10) n (%)	Deckhands (n = 18) n (%)
Poor	1 (10.0)	0 (0.0)
Fair	2 (20.0)	1 (5.6)
Good	3 (30.0)	2 (11.1)
Superior	1 (10.0)	5 (27.8)
Excellent	3 (30.0)	10 (55.6)

Note. The estimated VO<sup>2</sup> max was based on Huet's equation (Trivel et al. 2004). The categories of estimated VO<sup>2</sup> max came from the ACSM (2022). Values presented: n = number of individuals; % = percentage based on the group.

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476 Table 6. Sleep quality during the lobstering season among a cohort of 28 lobster fishers

Categories	Group	
	Captains (n = 10) n (%)	Deckhands (n = 18) n (%)
Poor sleep quality	5 (50.0)	6 (33.3)
Good sleep quality	5 (50.0)	12 (66.7)
Somnolence issues at work	4 (40.0)	4 (22.2)
Sleep time (hours) (m ± SD)	6.45 ± 1.25	6.56 ± 1.05
4h00–5h59	4 (40.0)	5 (27.8)
6h00–7h59	4 (40.0)	9 (50.0)
8h00–9h59	2 (20.0)	4 (22.2)
Bedtime (24 hours) (m ± SD)	20.5 ± 0.90	20.5 ± 1.08
18h00–19h59	3 (30.0)	4 (22.2)
20h00–21h59	5 (50.0)	10 (55.6)
22h00–24h00	2 (20.0)	4 (22.2)
Wake-up time (24 hour) (m ± SD)	2.95 ± 0.51	3.03 ± 0.48
1h00–1h59	0 (0.0)	1 (5.6)
2h00–2h59	4 (40.0)	5 (27.8)
3h00–4h00	6 (60.0)	12 (66.7)
Sleep apnea	1 (10)	2 (11.1)
Sleep medication (prescribed or not)	4 (40.0)	3 (16.7)

Note. The Pittsburgh Sleep Quality Index (PSQI) assesses the sleep quality.

Values presented: n = number of individuals; % = percentage based on the group.

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478 Table 7. Self-reported physical activity practice based on Huet's questionnaire (Trivel et al. 2004)  
 479 among a cohort of 28 lobster fishers.

	Group	
	Captains n (%)	Deckhands n (%)
Impact of the lobstering season on physical activity	<i>n</i> = 10	<i>n</i> = 18
<i>Continued practice</i>	2 (20.0)	4 (22.2)
<i>Increased practice</i>	0 (0.0)	0 (0.0)
<i>Decreased practice</i>	0 (0.0)	5 (27.8)
<i>Stopped practice</i>	3 (30.0)	7 (38.9)
<i>Inactive</i>	5 (50.0)	2 (11.1)
Physical activity performed	<i>n</i> = 5	<i>n</i> = 16
Types of activities		
- <i>Walk</i>	3 (60.0)	8 (50.0)
- <i>Tai chi</i>	1 (20.0)	0 (0.0)
- <i>Strength training</i>	1 (20.0)	9 (56.3)
- <i>Aerobic training (boxing, elliptical, running)</i>	1 (20.0)	8 (50.0)
- <i>Unspecified</i>	0 (0.0)	3 (18.8)
Perception of intensity		
- <i>Easy</i>	3 (60.0)	4 (25.0)
- <i>More and less difficult</i>	1 (20.0)	6 (37.5)
- <i>Difficult</i>	1 (20.0)	6 (37.5)
Duration		
- <i>Less than 30 minutes</i>	0 (0.0)	0 (0.0)
- <i>30 to 45 minutes</i>	1 (20)	2 (12.5)
- <i>45 to 60 minutes</i>	0 (0.0)	0 (0.0)
- <i>Over 60 minutes</i>	4 (80.0)	12 (66.7)
- <i>Unspecified</i>	0 (0.0)	2 (12.5)
Recurrency		
- <i>1-2 times per week</i>	0 (0.0)	1 (6.3)
- <i>3-4 times per week</i>	0 (0.0)	6 (37.5)
- <i>More than 5 times per week</i>	5 (100.0)	7 (43.4)
- <i>Unspecified</i>	0 (0.0)	2 (12.5)
Note. For the questions related to physical activity practiced outside of the lobstering season, the proportions were calculated based on the number of individuals participating in physical activity. Values presented: n = number of individuals; % = percentage based on the group.		

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Table 8. Work-related musculoskeletal disorders (MSD) among a cohort of 28 lobster fishers.

	Individuals who reported working with MSD n (%)	Body regions n (%)	Individuals who reported working with MSD caused by the lobstering n (%)	Body regions n (%)	Causes
Captains (n = 10)	9 (90.0)	Neck: 5 (55.6) Shoulder: 6 (66.7) Elbow: 2 (22.2) Hand: 3 (33.3) Back: 6 (66.7) Knee: 3 (33.3) Foot: 2 (22.2)	4 (40.0)	Neck: 1 (25) Shoulder: 2 (50) Hand: 3 (75) Back: 1 (25) Knee: 1 (25)	Awkward posture while steering the boat Awkward posture while steering the boat Unknown Repeated motion (handling lobster, fish) Awkward posture while steering the boat Awkward posture while steering the boat
Deckhands (n = 18)	12 (66.7)	Neck: 2 (16.7) Shoulder: 4 (33.3) Elbow: 2 (16.7) Hand: 6 (50.0) Back: 5 (41.6) Hip: 2 (16.7) Knee: 3 (25.0) Foot: 4 (33.3)	4 (22.2)	Neck: 1 (20) Shoulder: 1 (20) Elbow: 1 (20) Hand: 1 (20) Back: 2 (40) Foot: 1 (20)	Awkward posture while handling gaff, trawl line and buoy Awkward posture while handling gaff, trawl line and buoy Repeated motion (handling lobster, fish) Repeated motion (handling lobster, fish) Awkward posture while handling gaff, trawl line and buoy Awkward posture and high force while pulling traps Standing up on a boat in motion

Note. Musculoskeletal disorders are developed through chronic, repetitive movements or cumulative trauma of bodily reaction, daily exposure to whole-body vibration and/or overexertion in work-related tasks (Centers for Disease Control and Prevention (CDC), 2018; CCOHS, 2019). Values presented: n = number of individuals; % = percentage based on the group.

Table 9. Last 5-years of work-related injuries among a cohort of 28 lobster fishers.

	Individual n (%)	Body regions	Types	Causes
Captains (n = 10)	3 (30.0)	Wrist Hand Thigh	Fracture Crushed Torn muscle	Slip and fall on deck Jammed equipment (hauler) Excessive effort while steering
Deckhands (n = 18)	5 (27.8)	Head Eye Hand (n = 2) Thigh	Concussion Laceration Laceration (n = 2) Torn muscle	Slip and fall on deck Whipped by a rope Lobster handling (n= 2) Fallen trap

Note. Injuries are due to an event (accident), where each injury occurred to a different fisher. Values presented: n = number of individuals; % = percentage based on the group.

Table 10. The themes answered related to the strategies suggested to help to reduce the risk of musculoskeletal injuries and disorders among 28 lobstering fishers.

	Group	
	Captains (n = 10) n (%)	Deckhands (n = 18) n (%)
Lifestyle habits		
- <i>Being active (all year)</i>	5 (50.0)	8 (44.4)
- <i>Fitness level</i>	3 (30.0)	9 (50.0)
- <i>Pre-season workout</i>	4 (40.0)	6 (33.3)
- <i>Strength and cardio workout</i>	2 (20.0)	9 (50.0)
- <i>Warm-up routine (workday)</i>	1 (10.0)	4 (22.2)
- <i>Well rested (sleep, no alcohol)</i>	2 (20.0)	1 (5.6)
- <i>Weight management (food intake)</i>	1 (10.0)	1 (5.6)
- <i>Nothing special to do</i>	0 (0.0)	3 (16.7)
Behaviours adopted during occupational activity		
- <i>"Being focused on the task"</i>	1 (10.0)	2 (11.1)
- <i>"Being aware of ..." (environment, equipment, tasks, colleagues)</i>	1 (10.0)	2 (11.1)
- <i>"Take your time doing your tasks"</i>	1 (10.0)	1 (5.6)
- <i>Doing the heavy lifting without overexertion</i>	2 (20.0)	3 (16.7)
- <i>Asking for help</i>	0 (0.0)	1 (5.6)
- <i>Accepting the demands and difficulty of the job (tasks, work shift, early morning, long hours, few rest days, high level of difficulty, moving and restricted environment)</i>	1 (10.0)	2 (11.1)
- <i>Enjoying the job</i>	0 (0.0)	1 (5.6)
Leadership		
- <i>Teamwork</i>	0 (0.0)	2 (11.1)
- <i>Training and learning curve</i>	0 (0.0)	3 (16.7)
- <i>Equipment (maintenance, improvement)</i>	2 (20.0)	3 (16.7)
- <i>Rest day (one day off once a week)</i>	0 (0.0)	3 (16.7)
- <i>Captain responsibilities (navigation skills, weather, working pace, trap moving)</i>	2 (20.0)	6 (33.3)

Note. Values presented: n = number of individuals; % = percentage based on the group.