# 1 The Early Toarcian oceanic anoxic event: Paleoenvironmental and paleoclimatic change

2 across the Alpine Tethys (Switzerland)

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## 11 Abstract

12 Paleoenvironmental and paleoclimatic change associated with the Toarcian oceanic 13 anoxic event (T-OAE) was evaluated in five successions located in Switzerland. They represent different paleogeographic settings across the Alpine Tethys: the northern shelf 14 15 (Gipf, Riniken and Rietheim), the Sub-Briançonnais basin (Creux de l'Ours), and the Lombardian basin (Breggia). The multi-proxy approach chosen (whole-rock and clay 16 17 mineralogy, phosphorus, major and trace elements) shows that local environmental conditions 18 modulated the response to the T-OAE across the Alpine Tethys. On the northern shelf and in 19 the Sub-Brianconnais basin, high kaolinite contents and detrital proxies (detrital index, Ti, Zr, 20 Si) in the T-OAE interval suggest a change towards a warmer and more humid climate 21 coupled with an increase in the chemical weathering rates. In contrast, low kaolinite content 22 in the Lombardian basin is likely related to a more arid climate along the southern Tethys 23 margin and/or to a deeper and more distal setting. Redox-sensitive trace-element (V, Mo, Cu, 24 Ni) enrichments in the T-OAE intervals reveal that dysoxic to anoxic conditions developed on 25 the northern shelf, whereas reducing conditions were less severe in the Sub-Brianconnais basin. In the Lombardian basin well-oxygenated bottom water conditions prevailed. Phosphorus (P) speciation analysis was performed at Riniken and Creux de l'Ours. This is the first report of P speciation data for T-OAE sections, clearly suggesting that high P contents during this time interval are mainly linked to the presence of an authigenic phases and fish remains. The development of oxygen-depleted conditions during the T-OAE seems to have promoted the release of the organic-bound P back into the water column, thereby further sustaining primary productivity in a positive feedback loop.

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Keywords: Toarcian OAE; Proximal-distal transect; Mineralogy; Geochemistry; Phosphorus;
Climate change

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# 37 **1. Introduction**

38 The Toarcian oceanic anoxic event (T-OAE, Early Jurassic) was marked by global 39 warming, a perturbation of the carbon cycle, marine mass extinctions, and the widespread 40 deposition of organic-rich strata, reflecting oceanic anoxia (Harries and Little, 1999; Jenkyns, 41 1988). The T-OAE coincides with the onset of the Karoo-Ferrar large igneous province (LIP) 42 and the associated massive pulse in volcanic activity is currently thought to have initiated 43 these environmental and climatic changes (Pálfy and Smith, 2000; Bond and Wignall, 2014; 44 Burgess et al., 2015). The concomitant marked negative carbon-isotope excursion (CIE) 45 recorded in the *tenuicostatum-falciferum* ammonite zones (or equivalents) was attributed to 46 the injection of isotopically light carbon into the atmosphere and the ocean from (i) the input 47 of thermogenic carbon dioxide (CO<sub>2</sub>) produced by interaction of Karoo-Ferrar basalts and 48 organic-rich sediments, (ii) the release of methane from the dissociation of marine clathrates,

49 and (iii) the input of volcanogenic CO<sub>2</sub> (McElwain et al., 2005; Svensen et al., 2007; Kemp et 50 al., 2011). The negative CIE is superimposed on a long-term positive trend, which was 51 interpreted to reflect enhanced organic-carbon burial (Jenkyns, 1988). The negative CIE is 52 recorded in marine carbonate carbon, and terrestrial and marine organic carbon in globally 53 distributed settings, thereby confirming the global nature of the carbon-cycle perturbation and 54 providing a better time marker for the T-OAE than solely the occurrence of organic-rich 55 sediments (e.g., Röhl et al., 2001; Hesselbo et al., 2007; Suan et al., 2011, 2015; Gröcke et al., 56 2011: Caruthers et al., 2011: Kemp and Izumi, 2014: Reolid 2014: Montero-Serrano et al., 57 2015; Bodin et al., 2016; Al-Suwaidi et al., 2016; Them II et al., 2017; Xu et al., 2017). 58 Furthermore, global warming strengthened the hydrological cycle, resulting in increased 59 continental weathering and hence higher nutrient fluxes into the oceans (Cohen et al., 2004; 60 Hermoso and Pellenard, 2014; Brazier et al., 2015; Fu et al., 2017). These conditions boosted 61 primary productivity, which led to the development of oxygen-depleted conditions through 62 organic-matter (OM) oxidation (Jenkyns et al., 2010). Hitherto, a major focus of T-OAE 63 studies was on European restricted epicontinental basins and their organic-rich successions (e.g., Baudin et al., 1990; Jenkyns et al., 2001; Röhl et al., 2001; Hermoso et al., 2012). There, 64 65 OM preservation was fostered by the thermohaline stratification caused by enhanced 66 freshwater input and the southward flux of brackish water from the Laurasian Seaway 67 (Bjerrum et al., 2001; Dera and Donnadieu, 2012). Relatively few studies, however, addressed 68 the geographical extend of anoxic conditions, and the role of productivity and preservation in 69 open-ocean successions (e.g., Gröcke et al., 2011; Them II et al., 2017).

In this study, a high-resolution multi-proxy study was performed on five Lower
Toarcian successions located in Switzerland. They represent different paleogeographic
settings across the Alpine Tethys, namely its northern shelf (Gipf, Riniken, Rietheim), the
Sub-Briançonnais basin (Creux de l'Ours), and the Lombardian basin (Breggia) (Fig. 1). The

74 main purposes of this study were to (i) trace the evolution of environmental and climate 75 change during the T-OAE across the Alpine Tethys, (ii) evaluate the response to the T-OAE 76 of successions deposited in different paleogeographic settings and at different paleodepths, 77 (iii) better constrain the driving mechanisms in the development of oxygen-depleted 78 conditions across the Alpine Tethys, and iv) better understand P behaviour during the T-OAE 79 using P speciation analysis. This is the first report of P speciation values for T-OAE sections, 80 which combined with the other proxies, brings new insight into the impact of the T-OAE and 81 the role of local conditions in modulating the response to this global event.

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# 83 2. Geological setting

84 The successions were selected according to their paleogeographic position and the 85 presence of the negative CIE, which permits to trace the T-OAE interval across the Alpine 86 Tethys (Fig. 1). This was provided by previous lithostratigraphic and biostratigraphic 87 investigations performed by Rieber (1973), Wiedenmayer, (1980), Tröster (1987), Matter et 88 al. (1987), Kuhn and Etter (1994), Mettraux et al. (1989). In addition, a detailed study based 89 on sedimentological features, nannofossil biostratigraphy, organic matter content, and stable 90 isotopes was performed on these successions by Fantasia et al. (in review). This study 91 highlights the presence of numerous stratigraphic gaps and condensed intervals related to the 92 presence of winnowing events and sediment reworking during the T-OAE interval.

The sections of Gipf, Riniken (NAGRA borehole) and Rietheim are located in the northern Swiss Tabular Jura (Fig. 1A), canton Aargau, and were selected to characterise the northern shelf of the Alpine Tethys (Fig. 1B; Thierry et al., 2000). The Upper Pliensbachian interval is composed of phosphatic, glauconitic and fossil-rich marl and concretionary marly limestone. The Lower Toarcian interval is characterised by organic-rich laminated marl

98 grading into bioturbated grey marl in the most expanded sections. The T-OAE interval is 99 characterised by organic-rich laminated marl and shows evidences of episodic hydrodynamic 100 conditions. At Gipf, the organic-rich laminated marl is topped by a condensed limestone bed. 101 The variabilis and thouarsense zones (Middle and Upper Toarcian) are characterised by 102 phosphatic concretionary marl with fossil accumulations (Fig. 2). Previous geochemical and 103 whole-rock mineralogical investigations were recently performed for the Rietheim section by 104 Montero-Serrano et al. (2015). Their results show that the T-OAE interval was characterised 105 by anoxic (possibly euxinic) conditions, authigenic P, and an increase of the continental 106 weathering. This was complement here by clay-minerals data to better constrain the role of 107 climate conditions in modulating the environmental change. In addition, the sections of Gipf 108 and Riniken were chosen in the same area to extend the research to a basin scale and to better 109 understand the role of P during the T-OAE, as it was highlighted in studies on other OAEs 110 (e.g., Mort et al., 2007; Westermann et al., 2013).



Fig. 1. A) Localisation of the studied sections in Switzerland. B) Paleogeographic map (modified from R. Blakey, <a href="http://cpgeosystems.com/euromaps.html">http://cpgeosystems.com/euromaps.html</a>) of the Early Toarcian showing the location of the Karoo-Ferrar LIP, the Laurasian Seaway, and a zoom on the western Tethys (after Thierry et al., 2000) with the location of the studied sections: Gipf, Riniken, Rietheim, Creux de l'Ours, and Breggia.

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The Creux de l'Ours section is situated in the Préalpes Médianes Plastiques, canton Fribourg. The studied sediments were deposited in the Sub-Briançonnais basin, which was located to the NW of the Briançonnais micro-continent (Fig. 1B; Thierry et al., 2000). The Toarcian interval (Fig. 2) consists of grey thin-bedded hemipelagic marl and marly limestone including carbonate concretions formed around accumulations of ostracods and gastropods shells (*Coelodiscus minutus*). The T-OAE interval consists of thin-bedded marl and records short episodes of higher hydrodynamic conditions.



Fig. 2. Stratigraphic correlation between the studied sections and lithologies. The Rietheim section is from Montero-Serrano et al. (2015).

The Breggia section is exposed in the southern Alps, Canton Ticino, and was selected to characterise the hemipelagic realm (Fig. 1B; Thierry et al., 2000). The sediments from Breggia were deposited in the Monte Generoso subbasin, which was part of the Lombardian basin (Winterer and Bosellini, 1981). The Pliensbachian-Toarcian interval consists of yellowgrey to reddish bioturbated limestone, which grades into reddish nodular limestone and marl (Fig. 2). The T-OAE interval show organic-lean limestone, but the lower part of the interval is missing because of a stratigraphic gap (Wiedenmayer, 1980).

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#### 136 **3. Methods**

A detailed sampling was performed on the sections and whole-rock powders were obtained using an agate crusher. A total of 84 samples were collected at Gipf, 96 at Riniken, 65 at Creux de l'Ours and 74 at Breggia (Fig. 2). At Rietheim, 60 samples were selected for clay mineralogy analyses to complement previous geochemical study performed by Montero-Serrano et al. (2015). Mineralogical and geochemical analyses were conducted at the Institute of Earth Sciences of the University of Lausanne (Switzerland).

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144 *3.1. Whole-rock and clay mineralogy* 

145 Whole-rock and clay mineralogical analyses were performed on marl and limestone 146 samples using a Thermo Scientific ARL X-TRA Diffractometer. The whole-rock 147 mineralogical composition was semi-quantified using external standards and following the 148 method described by Klug and Alexander (1974), Kübler (1987) and Adatte et al. (1996). For 149 the clay minerals, the granulometric fraction  $<2 \mu m$  was obtained following Kübler, (1983) 150 and Adatte et al. (1996). Clay samples were analysed after ethylene-glycol saturation. The 151 kaolinite/(illite+chlorite) ratios (K/(I+C)) were calculated to trace the relationship between 152 chemical and physical alteration (e.g., Duchamp-Alphonse et al., 2011). High K/(I+C) values 153 suggest high chemical alteration related to more hydrolysing conditions under a warm and 154 humid climate. The detrital index (DI) was calculated by dividing the sum of detrital minerals 155 (quartz, phyllosilicates, Na-plagioclase, K-feldspar) by the calcite content (e.g., Adatte et al., 156 2002). High DI values indicate high input of terrigenous material and/or decreased carbonate 157 production/ increased dissolution.

160 Major (MEs; Si, Ti, Al, Ca, Na) and trace (TEs; Cu, Ni, Co, Cr, V, U, Mo, Zr) 161 elements concentrations were determined by X-ray fluorescence spectrometry (XRFS), using 162 a PANalytical PW2400 spectrometer. MEs were determined on fused lithium tetraborate glass disks, and TEs on pressed pellets of powered whole-rock samples mixed with Mowiol 163 164 polyvinyl alcohol (2%). Analytical reproducibility monitored by replicate analyses of selected 165 samples was lower than ±5% for MEs and TEs. Analysis accuracy was assessed using 166 international and in-house standard reference materials (TS1-Cement, TS3-Clay, TS4-167 Limestone, TS5-Marlstone, TS7-Sandstone, 372-Portland cement, 368-Dolomite).

A chemical index of alteration (CIA\*), corrected for the carbonate content (McLennan et al., 1993; Fedo et al., 1995), was used to estimate the intensity of alteration related to paleoclimatic conditions (Nesbitt and Young, 1989).

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$$CIA^* = [Al_2O_3/(Al_2O_3 + Na_2O + CaO^* + K_2O)]^*100$$

MEs and TEs were Al-normalised to correct for the dilution effect caused by variable proportions of the detrital mineral phases such as aluminosilicates that are of low mobility during diagenetic processes (Tribovillard et al., 2006). Al-normalisation is generally used when the coefficient of variation of Al concentration is not larger than that of other MEs and TEs (Riquier et al., 2006; Tribovillard et al., 2006). The detrital fluxes was evaluated based on Ti, Zr, and Si, and the oxygenation conditions with redox-sensitive TEs such as U, Mo, V, Ni and Cu.

179 Enrichment factors  $EF_{element(X)} = (X/Al)_{sample}/(X/Al)_{average shale}$  (Brumsack et al., 2006; 180 Tribovillard et al., 2006) were calculated to evaluate the relative enrichment of the element 181 (X) compared to the average shale composition (Wedepohl, 1971). EF > 3 represents a detectable authigenic enrichment of an element over average shale concentrations, whereas
EF > 10 represents a moderate to strong degree of authigenic enrichment (e.g., Tribovillard et
al., 2006; Algeo and Tribovillard, 2009).

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186 3.3. Phosphorus

187 To extract total phosphorus ( $P_{tot}$ ), 1 mL of 1M Mg(NO<sub>3</sub>)<sub>2</sub> was added to 100 ± 5 mg of 188 powdered whole-rock sample and then placed in an oven at 550°C during 2h30. After cooling 189 10 mL of HCl (1N) was added. The preparation was then placed on a shaker for 16h in order 190 to liberate the P<sub>tot</sub>. Samples were then filtered (0.45 µm) and analysed using the ascorbic acid 191 method (Eaton et al., 1995). In addition, the SEDEX sequential extraction method developed 192 by Ruttenberg (1992) and adapted by Mort et al. (2007) was used to quantify the authigenic (P<sub>auth</sub>), detrital (P<sub>det</sub>) and organic (P<sub>org</sub>) P phases. P concentrations (ppm) were measured using 193 an UV/Vis Perkin Elmer Spectrophotometer. Corg/Porg and Corg/Ptot (Redfield) molar ratios were 194 195 calculated ( $C_{org}$ = total organic carbon).

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# 197 **4. Results**

198 4.1. Whole-rock mineralogy and clay mineralogy

At Gipf, phyllosilicates (17-61%), calcite (3-77%) and quartz (2-26%) are the dominant minerals in the clayey marl and marl, whereas calcite (78-88%) dominates the limestone beds (Fig. 3). Phyllosilicates and quartz show a decreasing trend towards the Pliensbachian-Toarcian boundary followed by an abrupt increase at the base of the CIE interval, which coincides with a drop in calcite content to 0.3%. The presence of goethite throughout the section is likely related to the oxidation of pyrite (Nordstrom, 1982). Claymineral assemblages consist mainly of kaolinite (21-52%), illite (34-58%), chlorite (1-11%) and illite/smectite mixed-layers (2-35%) (Fig. 3). Kaolinite and K/(I+C) show a decrease towards the Pliensbachian-Toarcian boundary. This is followed by a marked increase in kaolinite (up to 49%) and K/(I+C) at the onset of the negative CIE. Then, a transient decrease is observed in the upper portion of the negative CIE interval below a second increase in the *thouarsense-levesquei* zones (Upper Toarcian) (Fig. 3).



**Fig. 3.** Stratigraphic variations in the total organic carbon (TOC) content, organic-carbon isotopes ( $\delta^{13}C_{org}$ ), whole-rock and clay mineralogy, total phosphorus ( $P_{tot}$ ) content, and  $C_{org}/P_{tot}$  ratios along the Gipf section (northern shelf).

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215 At Riniken, phyllosilicates (18-55%), calcite (8-70%), quartz (4-31%) dominate the 216 whole-rock composition in the clayey and marly intervals, whereas calcite is the dominant 217 mineral (64-83%) in the limestone beds. Phyllosilicates and quartz show a decrease towards 218 the Pliensbachian-Toarcian boundary interval, whereas calcite increases. A sharp decrease in 219 the calcite content to 8% is observed at the base of the CIE interval, whereas phyllosilicates 220 and quartz increase (up to 55% and 31%, respectively). The clay fraction is mainly composed 221 of kaolinite (13-40%), illite (33-62%), chlorite (3-19%) and illite/smectite mixed-layers (5-222 31%) (Fig. 4). Smectite is present in low amounts at the base of the section (at 0.90m: 2% and 223 at 1.05m: 8%). A peak in smectite is observed at 5.6 m (56%) and corresponds to a

lithological change. A marked increase in kaolinite and K/(I+C) is observed at the base of the
CIE interval, followed by a decrease in the upper portion of this interval and a long-term
increasing trend section upward (Fig. 4).



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At Rietheim, the clay fraction is dominated by kaolinite (16-41%), illite (29-56%), chlorite (5-24%) and illite/smectite mixed-layers (3-34%) (Fig. 5). Kaolinite and K/(I+C) increase at the base of the CIE interval. A transient decrease in kaolinite is observed in the upper portion of the CIE, followed by a long-term increase up to the top of section.



- **Fig. 5.** Clay-mineral distribution and organic-carbon isotopes ( $\delta^{13}C_{org}$ ) at Rietheim (northern shelf).
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At Creux de l'Ours, the Lower Toarcian marl mainly consists of calcite (15-51%), 238 239 phyllosilicates (28-50%) and quartz (10-29%), with lower proportions of K-feldspar, Na-240 plagioclase, dolomite and ankerite (Fig. 6). The calcite content shows the lowest values within 241 the CIE interval (mean is 27%) and then gradually increases section upward. This is inversely 242 correlated with phyllosilicates and quartz, both having the highest values within the CIE 243 interval (up to 50% and up to 49%, respectively). The clay-mineral assemblage consists 244 predominantly of illite (30-56%) and kaolinite (12-36%), and in lower proportions of chlorite 245 (2-15%), smectite (0-19%) and illite/smectite mixed-layers (6-26%) (Fig. 6). The negative 246 CIE is marked by an increase in kaolinite (from 16% to 35%) and K/(I+C). This is followed by a decrease in the upper portion of the CIE and a long-term increase section upward. 247



252 At Breggia, the Pliensbachian-Toarcian interval is dominated by calcite (40-86%), 253 phyllosilicates (5-34%) and quartz (3-20%) (Fig. 7). Calcite is inversely correlated with 254 phyllosilicates and quartz. Quartz and phyllosilicates increase just above the stratigraphic gap 255 (tenuicostatum-falciferum zones). This is followed by a transient decrease and a long-term 256 increase from the uppermost part of the CIE (from 1.6 m) section upward. The clay fraction is 257 dominated by illite and smectite, with lower proportions of chlorite, kaolinite and 258 illite/smectite mixed-layers. Smectite and illite dominate the assemblage (up to 60 and 45%, 259 respectively) in the CIE interval, whereas kaolinite is nearly absent (0-2%). The K/(I+C) ratio 260 is low and increases in the upper portion of the CIE and onwards, together with kaolinite (up 261 to 20%) (Fig. 7).



**Fig. 7.** Stratigraphic variations in the total organic carbon (TOC) content, organic-carbon isotopes ( $\delta^{13}C_{org}$ ), whole-rock and clay mineralogy, and the total phosphorus ( $P_{tot}$ ) content along the Breggia section (Lombardian basin).

#### 266 4.3. Phosphorus

At Gipf,  $P_{tot}$  content is low (150-480 ppm) in the Upper Pliensbachian interval except for two peaks of >1000 ppm (Fig. 3). The CIE interval is marked two main  $P_{tot}$  increases (up to 4880 ppm and 3406 ppm, respectively) and high  $C_{org}/P_{tot}$  ratios (up to 540 ppm). This is followed by a return to background concentrations in the upper portion of the CIE interval (mean: 308 ppm) and a sharp increase to 2600 ppm in the condensed limestone bed at 3.7 m (Fig. 3).

At Riniken, P<sub>tot</sub> increases up to 2370 ppm at the base of the CIE interval (Figs. 4 and 8), followed by a decrease (180-760 ppm) in the upper part of this interval. Two intervals of very high values (up to 6785 and 8677 ppm, respectively) are observed in the upper part of the section and correspond to phosphatic crusts and concretions in the marly limestone.



277 ---- C<sub>org</sub>/P<sub>org</sub> (Redfield, 1958) --- C<sub>org</sub>/P<sub>tot</sub> (Redfield, 1958) — Average shale value (Wedepohl, 1971)



The main P phases are  $P_{auth}$  (mean: 520 ppm), and  $P_{det}$  (mean: 633 ppm), whereas  $P_{org}$ shows lower values (mean: 106 ppm) (Fig. 8A).  $P_{auth}$  and  $P_{det}$  show trends similar to  $P_{tot}$  ( $r^2$ = 0.88 and 0.80, respectively).  $P_{org}$  increases at the onset of the CIE interval and then remains relatively constant section upward. The  $C_{org}/P_{tot}$  and  $C_{org}/P_{org}$  ratios show parallel trends and reach maximum values in the CIE interval (449 and 2000, respectively) (Fig. 8A).

286 At Creux de l'Ours, the base of the CIE interval shows an increase in P<sub>tot</sub> values (up to 287 1150 ppm), followed by a return to background values (236-474 ppm) in the upper part of this 288 interval (Figs. 6 and 8B). Maximal values (3296 ppm) are reached in the upper part of the section.  $P_{auth}$  (mean: 283) and  $P_{det}$  (mean: 154 ppm) are the main P phases and follow  $P_{tot}$ 289 290 distribution (Fig. 8B). Porg is a minor phase (mean: 82 ppm) and shows higher values across 291 the CIE interval, followed by generally lower values above this interval. Corg/Ptot ratios are low and show constant values (mean: 127) within the CIE interval. The  $C_{org}/P_{org}$  ratio shows higher 292 values than  $C_{\text{org}}/P_{\text{tot}}$  and maximal values (1550) are reached in the CIE interval (Fig. 8B). 293

At Breggia, P<sub>tot</sub> values are low (100-260 ppm) and show a slight increase (up to 260 ppm) within the CIE interval (Fig. 7). This is followed by a decrease in the upper portion of this interval and a long-term increasing trend section upward.

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298 4.4. Major and trace element abundances

At Gipf, Si, Ti, and Zr show a good correlation with Al ( $r^2$ = 0.97, 0.91, 0.90, respectively) and exhibit trends similar to the CIA\* (Fig. 9). They all show a sharp increase just below the Pliensbachian-Toarcian boundary and maximum values in the CIE interval, followed by a return to background values. Ti/Al and Zr/Al display their highest values in the CIE interval, whereas Si/Al shows relatively stable values along the section (Fig. 9). Cu/Al, V/Al and Mo/Al show the highest values in the CIE interval, followed by a return to background values (Fig. 9).



Fig. 9. Stratigraphic evolution of major and trace elements for the sections of Gipf, Riniken and Creux de l'Ours. The CIA\*
 values are plotted against the Al trend. The data are shown in absolute (wt.% and ppm; black dots) and Al-normalised (red lines) contents.

Ni/Al and U/Al show a decreasing trend below the Pliensbachian-Toarcian boundary,
followed by a slight increase at the onset of the CIE and then a return to lower values after
this interval (Fig. 9).

313 At Riniken, Si, Ti, and Zr are moderately correlated with Al ( $r^2 = 0.96, 0.93, 0.59$ ). 314 Ti/Al, Zr/Al and CIA\* reach maximum values at the base of the CIE interval (Fig. 9). Si/Al 315 shows the highest values below the Pliensbachian-Toarcian boundary and then a decreasing 316 trend section upward. Cu/Al, V/Al and Mo/Al reach maximum values at the base of the CIE 317 interval (Fig. 9). Ni/Al shows the highest values in the Upper Pliensbachian interval and a 318 sharp decrease just below the CIE interval. A slight increase is observed at the base of the 319 CIE, followed by a return to lower values section upward. U/Al shows a gradual increase 320 from the base to the top of the section, reaching its maximum value above the CIE interval.

At Creux de l'Ours, Si, Ti, and Zr are highly correlated with Al ( $r^2$ =0.78, 0.96, 0.87, respectively) and display a trend similar to the CIA\*. Si/Al, Ti/Al, Zr/Al and CIA\* show the highest values within the CIE interval and a decreasing trend section upward (Fig. 9). Ni/Al, Cu/Al, V/Al, Mo/Al and U/Al show the highest values within the CIE interval. Ni/Al, V/Al and Mo/Al display a sharp decrease in the second part of the CIE interval, whereas Cu/Al exhibit more fluctuating values. U/Al shows an increase from the base to the top of the section (Fig. 9).

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## 329 **5. Discussion**

- 330 5.1. Clay minerals
- 331 5.1.1. Preservation of the primary clay minerals

332 The late diagenetic effect on the primary clay mineral assemblage must be evaluated 333 before any paleoenvironmental interpretation (e.g., Chamley, 1989; Kübler and Jaboyedoff, 334 2000). At Gipf, Riniken and Rietheim (northern shelf),  $T_{max}$  values up to 440°C and the 335 absence of smectite suggest a moderate diagenetic overprint, because smectite commonly 336 transforms into illite when burial depth reaches about 2000 m and/or when  $T_{max}$  values reach 337 430-440°C (Burtner and Warner, 1986; Chamley, 1989). In these successions, burial depth 338 may have been underestimated and the geothermal gradient higher due to the proximity to the 339 Rhine graben (Todorov et al., 1993). At Creux de l'Ours (Sub-Briançonnais basin) and 340 Breggia (Lombardian basin), the presence of smectite and the low abundance of illite/smectite 341 mixed-layers indicate a weak diagenetic overprint and/or neoformation of smectite. Indeed, 342 the presence of radiolarians in these more open-marine sections may have been a source of 343 dissolved Si, which was subsequently involved in the precipitation of authigenic smectite (Chamley, 1989). The weak influence of burial diagenesis is supported by  $T_{max}$  values up to 344 435°C and 439°C, respectively (Deconinck and Bernoulli, 1991; Fantasia et al., in review). 345

In all studied sections, the presence of kaolinite suggests that the diagenetic overprint was however not too strong and variations in the clay-mineral assemblages likely reflect a primary paleoenvironmental signal. K/(I+C) ratios combined with CIA\* values were used to trace changes in hydrolysing conditions and humidity variations.

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## 351 5.1.2. Paleoclimatic conditions

At Gipf, Riniken, Rietheim (northern shelf) and Creux de l'Ours (Sub-Briançonnais basin), K/(I+C) ratios display comparable trends (Fig. 10). The low K/(I+C) ratios in the Upper Pliensbachian intervals may indicate a relatively cool to temperate and/or dry climate (Chamley, 1989; Velde, 1995). This is supported by the relatively low CIA\* values compared 356 to the Toarcian interval. The Late Pliensbachian was marked by a regression (Haq et al., 357 1987; Hardenbol et al., 1998; Haq, 2017), which supports the interpretation that the decrease 358 in kaolinite is likely related to paleoclimatic conditions rather than to sea-level change. The 359 increase of K/(I+C) and CIA\* values at the onset of the CIE, suggests a shift towards a 360 warmer and more humid climate (Thiry, 2000), which led to increased hydrolysing conditions 361 on the surrounding landmasses during the T-OAE (Fig. 10). This major change in the clay-362 mineral assemblage was also observed in other NW European basins (Dera et al., 2009; 363 Hermoso and Pellenard, 2014; Bougeault et al., 2017).

364 The distal paleogeographic position of the sections of Creux de l'Ours (Sub-365 Briançonnais basin) and Breggia (Lombardian basin) (Thierry, 2000) coupled with the Early 366 Toarcian transgression (Haq et al., 2017), likely interfered with the distribution of larger 367 kaolinite clay (e.g., Gibbs, 1977; Adatte et al., 2002; Godet et al., 2008). This could explain 368 lower kaolinite contents in the deepest and more distal settings. In addition, at Breggia, a drier 369 belt along the southern Tethyan margin during the T-OAE (van de Schootbrugge et al., 2005) 370 may have been responsible for the low K/(I+C) ratios owing to low hydrolysing conditions. 371 The predominance of smectite in the CIE interval most likely reflects the weathering of soils 372 developed on a distant landmass under a semi-arid climate with alternating humid and dry 373 seasons (Singer, 1984; Chamley, 1989). The high content in illite suggests a nearby clastic 374 source; such may have been the Gozzano High, located to the west of the Lombardian basin, 375 and uplifted during the latest Triassic-Early Jurassic (Bernoulli and Ulmer, 2016). Deconinck 376 and Bernoulli (1991) assigned the diversity of the clay-mineral assemblages at Breggia to the 377 weathering of various parent materials, including soils and crystalline basement. Above the 378 CIE interval, the gradual increase in kaolinite relative to smectite is likely related to a shift 379 towards warmer and more humid conditions rather than to sea-level rise, which would have 380 rather lead to an increase in smectite contents (Figs. 7 and 10). This trend is similarly

381 observed in the other studied sections and coincides with high CIA\* values. Therefore, the 382 hydrolysing conditions, induced by the warm and humid climate initiated during the Early 383 Toarcian, appear to have prevailed well after the CIE interval in the Alpine Tethys (up to the 384 *bifrons* Zone; Dera et al., 2009).

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- 386 *5.2. Change in continental weathering*

387 At Gipf, Riniken (northern shelf) and Creux de l'Ours (Sub-Briançonnais basin), the 388 CIE interval is marked by an increase (or the highest values) in the CIA\*, detrital index and 389 detrital elements (Figs. 9 and 10). This suggests that the climate shift towards warmer and 390 more humid climate (high kaolinite) increased the weathering rate in the nearby source areas 391 during the T-OAE. The increase in the detrital index is thus likely related to (i) the increase in 392 continental runoff, (ii) significant reworking during the early transgressive phase, (iii) a 393 decrease in the calcite content (acidification) due to the injection of greenhouse gases into the 394 atmosphere and the ocean (e.g., Suan et al., 2008; Trecalli et al., 2012). High Ti/Al and Zr/Al 395 during the CIE may be related to enhanced detrital supply from fluvial discharge, since these 396 elements are generally associated with heavy mineral grains (ilmenite, rutile, zircon) and/or to 397 an increase in eolian supply (Riquier et al., 2006). Ti and Zr might also have been enriched 398 relative to more labile elements due to the stronger hydrolysing conditions. These results are 399 in good agreement with other studies from the European areas (e.g., Cohen et al., 2004; 400 Hermoso and Pellenard et al., 2014; Brazier et al., 2015). Higher hydrolysing conditions 401 favoured higher nutrient fluxes into the basins, ultimately boosting primary productivity and 402 the development of oxygen-depleted conditions (Jenkyns, 2010). It is not excluded that the 403 deep and more distal setting of the Creux de l'Ours section modulated detrital input and 404 nutrient fluxes.



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410 At Breggia (Lombardian basin), the low hydrolysing conditions (low kaolinite) 411 recorded in the upper part of CIE coupled with the deeper and more distal setting likely 412 limited detrital input. The slight increase in the detrital index in this interval is rather linked to 413 condensation (distal setting and carbonate dissolution) rather than to higher detrital input. 414 Indeed, the T-OAE was marked by a general biocalcification crisis in pelagic and benthic 415 communities, which was attributed to eutrophication and changes in ocean chemistry (e.g., 416 Mattioli et al., 2008; Trecalli et al., 2012; Reolid et al., 2014; Ferreira et al., 2017). This led to 417 the near disappearance of shallow-water platforms and the decrease in carbonate 418 accumulation in the deepest parts of the basins (Blomeier and Reijmer, 1999; Mattioli et al., 419 2008; Trecalli et al., 2012). Therefore, condensation related to the decrease in carbonate 420 production might have been more important in carbonate-dominated settings like at Breggia.

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## 422 5.3. Redox conditions

423 At Gipf, Riniken (northern shelf) and Creux de l'Ours (Sub-Brianconnais basin), the 424 increasing trends in redox-sensitive TEs (V, Mo, Cu, Ni) coupled with low to modest EFs 425 (Gipf: 1-13; Riniken: 0.5-21; Creux de l'Ours: 0.6-12) during the T-OAE interval indicate a 426 shift towards oxygen-depleted conditions (Figs. 9 and 12A). These elements tend to be less 427 soluble under reducing conditions, and depend on the flux of OM delivered to the sediments 428 and the formation of sulphides (mainly pyrite), whose accumulation is largely controlled by 429 the oxygenation conditions of the sedimentary environment (Riquier et al., 2006; Tribovillard 430 et al., 2006; Calvert and Pederson, 2007). The Mo-TOC scatterplot indicate that sediments 431 were deposited under dysoxic to anoxic conditions at Gipf and Riniken and mostly under 432 dysoxic conditions at Creux de l'Ours in the T-OAE interval (Fig. 11A). This is supported by 433 the absence of genuine laminated organic-rich sediments in the latter. In addition, 434 sedimentological features (e.g., obliquely-bedded laminae and homogeneous mud layers 435 containing rip-up clasts) observed in these successions (Fantasia et al., in review) indicate that 436 short episodes of winnowing and sediment reworking likely precluded the development of 437 strong and persistent bottom-water anoxia in these sections.



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439 Fig. 11. (A) Comparative Mo-TOC scatterplots for the T-OAE interval from Gipf, Riniken and Creux de l'Ours, according to 440 Algeo and Maynard (2004). Square linear regressions are shown for the three sections. (B) Correlation factors  $(r^2)$  of selected 441 trace elements measured in the T-OAE interval at Gipf, Riniken and Creux de l'Ours.

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The poor correlation of Ni, Cu, V and Mo with TOC and Al indicates that these elements were mainly enriched through redox processes (Fig. 11B). EFs of V, Mo, Ni and Cu are lower than the EFs from sites characterised by strong anoxia (e.g., Brumsack, 2006), supporting our interpretation that dysoxic to anoxic conditions developed during the T-OAE interval rather than strong anoxia or euxinia (Fig. 12B). At Gipf, Riniken and Creux de l'Ours, U shows a trend different to the other redox-sensitive TEs, especially above the CIE
interval. This may be due to variability in the sedimentation-accumulation rate (Wignall and
Maynard, 1993) and/or to adsorption onto authigenic phosphate (Abed et al., 2013).



452 Fig. 12. (A) Evolution of the enrichment factors (EFs) for trace elements (Ni, Cu, V, Mo) measured at Gipf, Riniken and
453 Creux de l'Ours, relative to average shale (Wedepohl, 1971). (B) Enrichment factors (EFs) for Ni, Cu, V and Mo from the T454 OAE interval of the studied sections of Gipf, Riniken and Creux de l'Ours compared with other organic-rich sections
455 (Brumsack, 1991, 2006; Montero-Serrano et al., 2015).

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457 At Gipf, Riniken and Creux de l'Ours, Ni/Al and Cu/Al show a slight to sharp increase 458 in the T-OAE interval, which coincides with an interval of high OM content (Fig. 9). These 459 elements are generally delivered and retained in the sediment in association with OM under 460 reducing conditions (Algeo and Maynard, 2004; Tribovillard et al., 2006). Therefore, the 461 increase in Ni and Cu within the T-OAE likely indicates a higher OM flux and thus 462 potentially higher productivity. High Ni concentrations appear to be coupled with high Ca 463 values in the marly limestone from the Pliensbachian and Upper Toarcian, likely due to the 464 preferential precipitation of Ni in carbonate complex (Tribovillard et al., 2006).

At Breggia (Lombardian basin), the degree of oxygenation is inferred from sedimentological observations. Even if the lower part of the negative CIE is missing due to a stratigraphic gap, the presence of abundant bioturbation and the absence of OM in the interval showing the return to more positive values in the CIE indicate that oxic conditions prevailed (Fantasia et al., in review).

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#### 471 5.4. Insights from phosphorus

472 At Gipf, Riniken (northern shelf) and Creux de l'Ours (Sub-Briançonnais basin), high P<sub>tot</sub> values coupled with high TOC values are recorded in sediments deposited under dysoxic 473 474 to intermittently anoxic conditions (Fig. 13). Mesozoic OAEs generally show a drastic 475 decrease in P<sub>tot</sub> because P is strongly dependent on redox conditions at the sediment-water 476 interface and generally released to the water column under reducing conditions (e.g., Van 477 Cappellen and Ingall, 1994; Föllmi, 1996; Mort et al., 2007). Consequently, P may increase 478 primary productivity, expand the oxygen-minimum zone and reinforce the sinking flux of 479 organic carbon in a positive feedback loop (van Cappellen and Ingall, 1994; Filippelli and 480 Delaney, 1996; Mort et al., 2007). On the other hand, under oxic conditions, part of the remineralised organic P may be trapped in the sediment mainly through authigenesis and
adsorption on clay minerals and Fe-oxyhydroxides (Slomp et al., 1996; Algeo and Ingall,
2007).



485 Fig. 13. Variations in phosphorus (P) contents along the studied transect, plotted against the organic-carbon isotopes ( $\delta^{13}C_{org}$ ) and the total organic-carbon (TOC) content.

487 At Riniken and Creux de l'Ours, C<sub>org</sub>/P<sub>org</sub> ratios higher than the Redfield ratio (106:1;
488 Redfield, 1958) in the CIE interval suggests that the retention capacity of P in OM was very

489 low and that preserved OM was depleted in P relative to carbon. P can be laterally transferred into authigenic P during diagenesis and thus Core /Porg ratios are commonly used (Anderson et 490 491 al., 2001; Algeo and Ingall, 2007). Corg/Ptot higher than Corg/Porg, and both slightly to markedly 492 higher than the Redfield ratio in the CIE interval (Fig. 8) suggest a lateral transfer of organic-493 bound P into an authigenic phase and/or the release of P back into the water column. It 494 appears from the thin-sections analysis that the "authigenic" P is mostly related to fish 495 remains (biogenic P), which may have been converted into more stable carbonate fluorapatite 496 (Schenau and De Lange, 2000). However, P authigenesis cannot be totally excluded. High P<sub>det</sub> 497 contents observed at Riniken (boundary between NJT6 and NJT7a nannofossil zones) are 498 related to the presence of authigenic P crusts. Indeed, P<sub>det</sub> may correspond to an authigenic 499 phase, which underwent subsequent recrystallisation (e.g., Filippelli and Delaney, 1996; 500 Föllmi et al., 2005).

At Riniken,  $P_{det}$  is moderately correlated with the detrital index ( $r^2 = 0.42$ ), Ti ( $r^2 =$ 501 0.48), Zr ( $r^2$ =0.56) at the base of the CIE interval. This correlation is not observed at Creux de 502 503 l'Ours. The paleogeographic position of the Riniken section close to large landmasses 504 coupled with the increase in hydrolysis in the source areas (high CIA\*) likely favoured high P 505 input from the continent, boosting primary productivity (Föllmi, 1995; Tyrell, 1999). In 506 addition, Porg recycling (low Porg burial efficiency) under reducing conditions during the T-507 OAE was likely efficient creating a positive feedback loop sustaining primary productivity, as is also known from other OAEs. Therefore, the decrease in Porg burial efficiency at Riniken 508 509 and Creux de l'Ours during the T-OAE likely helped to sustain oxygen depleted conditions.

At Breggia (Lombardian basin), low  $P_{tot}$  values in the upper portion of the CIE may be related to the (i) large distance away from large landmasses, which limited the export of nutrients, (ii) the more arid climate prevailing along the southern Tethyan margin (van de Schootbrugge et al., 2005), and (iii) low dissolved P concentrations in the water column. The 514 slight increase in P<sub>tot</sub> values recorded during the CIE interval is likely linked to the low 515 sediment-accumulation rate.

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517 5.5. Depositional conditions during the T-OAE across the Alpine Tethys

518 The mineralogical and geochemical results from the studied sections bring further 519 insights into the T-OAE, with a particular focus on the environmental and depositional 520 conditions. At Gipf, Riniken (northern shelf) and Creux de l'Ours (Sub-Briançonnais basin), 521 the T-OAE interval is marked by a shift towards warmer and more humid conditions 522 associated with an enhanced hydrological cycle and an increase in continental weathering, as 523 it also occurred elsewhere in the central and NW European basins (Cohen et al., 2004; Brazier 524 et al., 2015; Montero-Serrano et al., 2015; Percival et al., 2016). These conditions coupled 525 with the Early Toarcian transgression promoted nutrient input, and this probably also by the 526 reworking of soils and sediments from the continent into the more proximal basins. Therefore, 527 the paleogeographic position of the sites and their distance away from large landmasses 528 played a role in the distribution of continental input, with the northern shelf (i.e., Gipf and 529 Riniken) likely being more sensitive than the Sub-Briançonnais basin (i.e., Creux de l'Ours). 530 The increase in nutrient availability boosted primary productivity at these sites, which 531 favoured the development of oxygen depleted conditions. Reducing conditions developed as a 532 function of the paleogeography and were enhanced by the thermohaline stratification of the 533 water column, as proposed for European basins (Bjerrum et al., 2001; van de Schootbrugge et 534 al., 2005; Mattioli et al., 2008; Dera and Donnadieu, 2012; Fantasia et al., in review). 535 Consequently, the development of oxygen depleted conditions promoted benthic regeneration 536 of organic-bound P and its transfer back into the water column (high Corg./Porg. ratios), ultimately sustaining primary productivity in a positive feedback loop. 537

538 At Breggia, the large distance away from landmasses and/or the presence of a more 539 arid climate likely limited the nutrient input by fluvial discharge. Under such conditions, high primary productivity was not favoured and the oxygen-minimum zone likely not expanded 540 541 into the Lombardian basin, as was previously suggested (Farrimond et al., 1998). The 542 circulation pattern and the morphology of the Lombardian basin controlled the development 543 of oxygen-depleted conditions, which were restricted to the deepest parts of the basin 544 (Farrimond et al., 1989). This supports previous interpretations that nutrient concentrations 545 were different between the northern and southern Tethyan margin (e.g., Farrimond et al., 546 1989; van de Schootbrugge et al., 2005; Reolid et al., 2014).

547 The studied transect provide further evidence that the onset of the Karoo-Ferrar LIP 548 triggered the profound environmental perturbations associated with the T-OAE and that local 549 conditions modulated the response to this global event (e.g., Cohen et al., 2004; McElwain et 550 al., 2005; McArthur et al., 2008; Hermoso et al., 2009). It is currently thought that the 551 termination of the T-OAE was related to the drawdown of excessive atmospheric CO<sub>2</sub> through 552 enhanced continental weathering and increased organic-carbon burial in the marine realm, 553 thus diminishing the greenhouse effects (Jenkyns, 2003). However, this may be questioned 554 since several sections recording the T-OAE CIE lack significant OM content (e.g., Wignall et 555 al., 2005; McArthur et al., 2008). In addition, most European sections, where high organic-556 carbon contents are recorded, show relatively low average organic-carbon burial rates (Suan et al., 2016), when compared to modern high-productivity sites (Föllmi et al., 2005). In 557 558 analogy to Suan et al. (2016), organic-carbon burial rates were calculated for the T-OAE 559 interval at Riniken and Creux de l'Ours, using average TOC contents (Riniken: 5.9 wt.%, 560 Creux de l'Ours: 4.5 wt.%), an average density for marly sediments of 1.7 g/cm<sup>3</sup>, and a 561 duration for the core of the negative CIE of 450 kyrs (Suan et al., 2008; Ruebsam et al., 2014) 562 or 200 kyrs (Boulila et al., 2014). Calculated organic-carbon burial rates are relatively low 563 (Riniken: 0.24 and 0.55 g/m<sup>2</sup>/yr, Creux de l'Ours: 0.57 and 1.29 g/m<sup>2</sup>/yr) relative to modern 564 high-productivity sites (e.g., Föllmi et al., 2005), questioning the role of such sites as 565 important sinks for atmospheric CO<sub>2</sub>, similar to what was observed for the early Aptian 566 OAE1a (Föllmi, 2012). The development of vegetation in European areas owing to more 567 humid conditions (up to the bifrons Zone, Dera et al., 2009) may also have acted as a carbon 568 sink (Westermann et al., 2010; Föllmi, 2012). Recently, it was proposed that the increase in 569 humid conditions favoured the development of large organic-rich lake systems, which 570 significantly contributed to the reduction of the  $pCO_2$  (Xu et al., 2017). The enhancement of 571 fire activity during the T-OAE, and in particular during its termination, may have played an 572 important role in terminating ocean anoxia (Baker et al., 2017).

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## 574 **6.** Conclusions

575 The high-resolution study of five sections recording the CIE provides critical 576 mineralogical and geochemical data, which permit to compare the paleoenvironmental and 577 depositional conditions across the Alpine Tethys during the T-OAE. This transect highlights 578 the different response to the T-OAE depending on the paleogeographic position of the 579 sections, emphasising the importance of local conditions in modulating the impact of the T-580 OAE. The mineralogical proxies show that the warm and humid climate prevailing during the 581 T-OAE led to increased runoff and higher terrigenous input on the northern shelf and in the 582 Sub-Brianconnais basin. The nutrient distribution was probably governed by the proximity to 583 continental masses and likely favoured productivity-driven anoxia in the proximal and more 584 restricted settings. The redox-sensitive TEs show a gradient across the studied transect. Gipf 585 and Riniken (northern shelf) were characterised by dysoxic to anoxic conditions, whereas less 586 reducing conditions were present at Creux de l'Ours (Sub-Briançonnais) and oxic conditions at Breggia (Lombardian basin). Thermohaline stratification promoted reducing conditions and the preservation of the organic matter on the northern shelf and in the Sub-Briançonnais basin. Under such conditions, the release of phosphorus from the sediments into the water column likely sustained the primary productivity, acting as a positive feedback loop. At Breggia, the remote position away from large landmasses and/or the prevalence of low hydrolysing conditions on the continents adjacent to the southern Tethys precluded high nutrient input rates and the resulting primary productivity was low.

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